

SURVEY OF INCOME AND PROGRAM PARTICIPATION, 2008 PANEL WAVE 11 TOPICAL MODULE FILE DATA DICTIONARY

DATA	SIZE	BEGIN
D SSUSEQ	5	1
T SU: Sequence Number of Sample Unit - Primary Sort Key		
U All persons		
V	1:65000	.Sequence Number
D SSUID	12	6
T SU: Sample Unit Identifier		
Sample Unit identifier This identifier is created by scrambling together the PSU, Segment, Serial, Serial Suffix of the original sample address. It may be used in matching sample units from different waves.		
U All persons		
V	000000000000:999999999999	.Scrambled Id
D SPANEL	4	18
T SU: Sample Code - Indicates Panel Year		
U All persons		
V	2008	.Panel Year
D SWAVE	2	22
T SU: Wave of data collection		
There were 13 waves of data collection in the 2008 Panel		
U All persons		
V	1:13	.Wave of data collection
D SROTATON	1	24
T SU: Rotation of data collection		
Rotation within wave. Each wave of data is collected over a four calendar month period. The rotation field indicates which month within the wave a particular interview was conducted.		
U All persons		
V	1:4	.Rotation of data collection
D TFIPSST	2	25
T HH: FIPS State Code		
FIPS State Code Federal Information Processing Standards state (and state equivalent) code for the 50 states, and DC.		
U All persons		
V	01	.Alabama
V	02	.Alaska
V	04	.Arizona

V	05	.Arkansas
V	06	.California
V	08	.Colorado
V	09	.Connecticut
V	10	.Delaware
V	11	.DC
V	12	.Florida
V	13	.Georgia
V	15	.Hawaii
V	16	.Idaho
V	17	.Illinois
V	18	.Indiana
V	19	.Iowa
V	20	.Kansas
V	21	.Kentucky
V	22	.Louisiana
V	23	.Maine
V	24	.Maryland
V	25	.Massachusetts
V	26	.Michigan
V	27	.Minnesota
V	28	.Mississippi
V	29	.Missouri
V	30	.Montana
V	31	.Nebraska
V	32	.Nevada
V	33	.New Hampshire
V	34	.New Jersey
V	35	.New Mexico
V	36	.New York
V	37	.North Carolina
V	38	.North Dakota
V	39	.Ohio
V	40	.Oklahoma
V	41	.Oregon
V	42	.Pennsylvania
V	44	.Rhode Island
V	45	.South Carolina
V	46	.South Dakota
V	47	.Tennessee
V	48	.Texas
V	49	.Utah
V	50	.Vermont
V	51	.Virginia
V	53	.Washington
V	54	.West Virginia
V	55	.Wisconsin
V	56	.Wyoming

D SHHADID 3 27

T SU: Hhld Address ID differentiates hhlds in
sample unit

Household Address ID. This field
differentiates households within the
sample PSU, segment, serial, serial
suffix; that is, households spawned from
an original sample household.

U All persons
V 011:139 .Household Address ID

D EOUTCOME 3 30
T HH: Interview Status code for this household

U All persons in households

V 201 .Completed interview
V 203 .Compl. partial- missing data; no
V .TYPE-Z
V 207 .Complete partial - TYPE-Z; no
V .further followup
V 213 .TYPE-A, language problem
V 216 .TYPE-A, no one home (noh)
V 217 .TYPE-A, temporarily absent (ta)
V 218 .TYPE-A, hh refused
V 219 .TYPE-A, other occupied (specify)
V 234 .TYPE-B, entire hh institut. or
V .temp. ineligible
V 248 .TYPE-C, other (specify)
V 249 .TYPE-C, sample adjustment
V 250 .TYPE-C, hh deceased
V 251 .TYPE-C, moved out of country
V 252 .TYPE-C, living in armed forces
V .barracks
V 253 .TYPE-C, on active duty in Armed
V .Forces
V 254 .TYPE-C, no one over age 15 years
V .in household
V 255 .TYPE-C, no Wave 1 persons
V .remaining in household
V 260 .TYPE-D, moved address unknown
V .-SPAWN
V 261 .TYPE-D, moved within U.S. but
V .outside SIPP -SPAWN
V 262 .TYPE-C, merged with another SIPP
V .household
V 270 .TYPE-C, mover, no longer located
V .in FR's area -PARENT
V 271 .TYPE-C, mover, new address
V .located in same FR's area
V .-PARENT
V 280 .TYPE-D, mover, no longer located
V .in FR's assignment area
V .-SPAWN

D RFID 3 33
T FA: Family ID Number for this month
Family ID number may be used to identify
all persons in the same family in a given
month. This ID is used for primary
families, unrelated subfamilies, and
primary and secondary individuals.
Persons in related subfamilies have the
primary family ID in this field.

U All persons
V 1:120 .Family ID number

D RFID2 3 36
T FA: Family ID excluding related subfamily
members
Family ID number excluding members of
related subfamilies. This ID is used for
all persons except related subfamily
members.
U All persons except those in related subfamilies
(excludes persons with ESFTYPE = 2)
V -1 .Not in Universe
V 1:120 .Family ID number

D EPPIDX 3 39
T PE: Person index
Person index. This field differentiates
persons within the sample unit. Person
index is unique within the sample unit
and wave.
U All persons
V 1:999 .Person index

D EENTAID 3 42
T PE: Address ID of hhld where person entered
sample
Address ID of the household that this
person belonged to at the time this person
first became part of the sample.
U All persons
V 011:139 .Entry address ID

D EPPPNUM 4 45
T PE: Person number
Person number. This field differentiates
persons within the sample unit. Person
number is unique within the sample unit.
U All persons
V 0101:1399 .Person number

D EPOPSTAT 1 49
T PE: Population status based on age in 4th
reference month
Population status. This field identifies
whether or not a person was eligible to be
asked a full set of questions, based on
his/her age in the fourth month of the
reference period.
U All persons
V 1 .Adult (15 years of age or older)
V 2 .Child (Under 15 years of age)

D EPPINTVW 2 50
T PE: Person's interview status
U All persons
V 1 .Interview (self)
V 2 .Interview (proxy)

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V          3 .Noninterview - Type Z
V          4 .Noninterview - pseudo Type Z.
V          .Left sample during the
V          .reference period
V          5 .Children under 15 during
V          .reference period

D EPPMIS4      1      52
T PE: Person's 4th month interview status
      Person's interview status for month 4
U All persons
V          1 .Interview
V          2 .Non-interview

D ESEX         1      53
T PE: Sex of this person

U All persons
V          1 .Male
V          2 .Female

D ERACE        1      54
T PE: The race(s) the respondent is
      What race(s) does ... consider
      herself/himself to be? 1 White 2 Black or
      African American 3 American Indian or
      Alaska Native 4 Asian 5 Native Hawaiian or
      Other Pacific Islander
U All persons
V          1 .White alone
V          2 .Black alone
V          3 .Asian alone
V          4 .Residual

D EORIGIN      2      55
T PE: Spanish, Hispanic or Latino
      Is ... Spanish, Hispanic or Latino?
U All persons
V          1 .Yes
V          2 .No

D WPFINWGT    10      57
T WW: Person weight
      Final person weight Four implied decimal
      places.
U All persons
V 0.0000:99999.9999 .Final person weight

D ERRP        2      67
T PE: Household relationship

U All persons
V          1 .Reference person with related
V          .persons in household
V          2 .Reference Person without related
V          .persons in household
V          3 .Spouse of reference person

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V	4	.Child of reference person
V	5	.Grandchild of reference person
V	6	.Parent of reference person
V	7	.Brother/sister of reference person
V	8	.Other relative of reference person
V	9	.Foster child of reference person
V	10	.Unmarried partner of reference
V		.person
V	11	.Housemate/roommate
V	12	.Roomer/boarder
V	13	.Other non-relative of reference
V		.person

D TAGE 2 69

T PE: Age as of last birthday

Edited and imputed age as of last birthday. Topcoding combines persons into last two single year of age groups. User should combine last two age groups for microdata analysis.

U All persons

V	0	.Less than 1 full year old
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V	1:88	.Number of years old
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D EMS 1 71

T PE: Marital status

U All adults (EPOPSTAT = 1)

V	1	.Married, spouse present
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V	2	.Married, spouse absent
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V	3	.Widowed
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V	4	.Divorced
---	---	-----------

V	5	.Separated
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V	6	.Never Married
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D EPNSPOUS 4 72

T PE: Person number of spouse

U All persons

V	0101:1399	.Person number
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V	9999	.Spouse not in household or person
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V		.not married
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D EPNMOM 4 76

T PE: Person number of mother

U All persons

V	0101:1399	.Person number
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V	9999	.No mother in household
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D EPNDAD 4 80

T PE: Person number of father

U All persons

V	0101:1399	.Person number
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V	9999	.No father in household
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D EPNGUARD 4 84
 T PE: Person number of guardian

U All persons, 19 years and under TAGE
 V -1 .Not in Universe
 V 0101:1399 .Person number
 V 9999 .Guardian not in household

D RDESGPNT 2 88
 T PE: Designated parent or guardian flag
 Is ... the designated parent or guardian
 of children under age 18 who live in this
 household?

U All persons 15+ at the end of the reference
 period. EPOPSTAT = 1
 V -1 .Not in Universe
 V 1 .Yes
 V 2 .No

D EEDUCATE 2 90
 T ED: Highest Degree received or grade completed
 What is the highest level of school ...
 has completed or the highest degree ...
 has received?

U All persons age 15 and over
 V -1 .Not in Universe
 V 31 .Less Than 1st Grade
 V 32 .1st, 2nd, 3rd or 4th grade
 V 33 .5th Or 6th Grade
 V 34 .7th Or 8th Grade
 V 35 .9th Grade
 V 36 .10th Grade
 V 37 .11th Grade
 V 38 .12th grade, no diploma
 V 39 .High School Graduate - (diploma
 .or GED or equivalent)
 V 40 .Some college, but no degree
 V 41 .Diploma or certificate from a
 .vocational, technical,
 .trade or business school
 .beyond high
 V 43 .Associate (2-yr) college degree
 .(include
 .academic/occupational
 .degree)
 V 44 .Bachelor's degree (for example:
 .BA, AB, BS)
 V 45 .Master's degree (For example: MA,
 .MS, MEng, MEd, MSW, MBA)
 V 46 .Professional School degree (for
 .example: MD(doctor),DDS(dentist),JD(la-
 .wyer)
 V 47 .Doctorate degree (for example:
 .Ph.D., Ed.D)

D LGTKEY 8 92
 T PE: Person longitudinal key

NOTE: This variable is not used on the Preliminary Wave 1 file. The longitudinal key is in sort by scrambled id (SSUID). The first five digits of the key contain a longitudinal sequence number which is unique for the sample unit across all waves. The last three digits contain a person's index which identifies a person within a sample unit and is unique for a person across all waves. This key can be used to merge people longitudinally.

U All persons

V 1001:70000001 .Longitudinal Key

D SINTHHID 3 100

T SU: Hhld Address ID of person in interview month

Address ID of this person at time of interview (fifth month). Universe = All persons

V 0 .Not In Universe

V 011:169 .Household Address ID

D EARPUNV 2 103

T PR: Universe indicator.

Universe indicator for Retirement Expectations and Pension Plan Coverage Topical Module. Universe = All adults

V -1 .Not in Universe

V 1 .In universe

D RMJB 2 105

T PR: Main job index

Index of the main job record belonging to this person in this wave. Universe = All respondents age 15 and over who held a job as of the last day of the reference period

V -1 .Not in Universe

V 0 .No current job but in universe

V .for topical module

V 1:99 .Job index of main job

D RMBS 2 107

T PR: Main business index

Index of the main business record belonging to this person in this wave. Universe = All respondents age 15 and over who owned a business as of the last day of the reference period

V -1 .Not in Universe

V 0 .No current business but in

V .universe for topical module

V 1:99 .Business index of main business

D RMNJBBS 2 109

T PR: Reference job or business for topical module
 Flag indicating main source of earnings for pension coverage section of topical module based on income Universe =
 All respondents age 15 and over who held a job or owned a business as of the last day of the reference period

V	-1	.Not in Universe
V	1	.Job
V	2	.Business

D EHEREMPL 2 111

T PR: Verification of number of employees
 PR3_PR110 I just need to verify some information. Thinking about the location where you work, about how many people are employed there by (your employer)?
 Universe = All respondents age 15 and over whose main source of income was a job as of the last day of the reference period (RMJB>0 and RMNJBBS=1)

V	-1	.Not in Universe
V	1	.Less than 10
V	2	.10 to 25
V	3	.26 to 50
V	4	.51 to 100
V	5	.101 to 200
V	6	.201 to 500
V	7	.501 to 1000
V	8	.Greater than 1000

D AHEREMPL 1 113

T PR: Allocation flag for EHEREMPL
 PR3_PR110 Allocation flag for verification of number of employees at respondent's work location

V	0	.Not imputed
V	1	.Statistical imputation (hotdeck)
V	2	.Cold deck imputation
V	3	.Logical imputation (derivation)

D TTOTEMPL 2 114

T PR: Verification of number of employees
 PR4_PR120 About how many people are employed by (your employer) at all locations? Universe = All respondents age 15 and over whose main source of income was a job as of the last day of the reference period, and who worked for an employer with more than one location (RMJB>0 and RMNJBBS=1 and EEMPALL>0)

V	-1	.Not in Universe
V	1	.Less than 50
V	2	.50 to 100

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V          3 .101 to 500
V          4 .501 to 1000
V          5 .Greater than 1000

D ATOTEMPL    1    116
T PR: Allocation flag for TTOTEMPL
    PR4_PR120 Allocation flag for verification
    of number of employees at all work
    locations
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D TBUSTOTL    2    117
T PR: Verification of number of people
    PR4A_PR121 I just need to verify some
    information. About how many people are
    employed by (respondent's business)?
    Universe =          All respondents
    age 15 and over who had a business and
    did not hold a job as of the last day of
    the reference period (RMBS>0 and
    RMNJBBS=2)
V          -1 .Not in Universe
V          1 .Less than 10
V          2 .10 to 25
V          3 .26 or more

D ABUSTOTL    1    119
T PR: Allocation flag for TBUSTOTL
    PR4A_PR121 Allocation flag for
    verification of number of employees at
    respondent's business
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D EWKSYEAR    2    120
T PR: Number of weeks worked annually
    PR5_PR130 How many weeks during the year
    do you usually work at (job name)? Include
    paid vacation and sick leave as work time.
    Universe =          All respondents
    age 15 and over who held a job or owned a
    business as of the last day of the
    reference period (RMNJBBS>0)
V          -1 .Not in Universe
V          1:52 .Weeks

D AWKSYEAR    1    122
T PR: Allocation flag for EWKSYEAR
    PR5_PR130 Allocation flag for number of
    weeks usually worked
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)

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V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D TNUMLEN      2      123
T PR: Number of years/months respondent has
  worked
  PR6_PR140 How many years/months have you
  been working for (job/business)? Universe
  =          All respondents age 15 and
  over who held a job or owned a business
  as of the last day of the reference
  period (RMNJBBS>0)
V          -1 .Not in Universe
V          1:30 .Number of years or months

D EMTHYEAR      2      125
T PR: Units of reporting
  PR6_PR140 Is this months or years?
  Universe =          All respondents
  age 15 and over who held a job or owned a
  business as of the last day of the
  reference period (RMNJBBS>0)
V          -1 .Not in Universe
V          1 .Months
V          2 .Years

D ANUMYEAR      1      127
T PR: Allocation flag for ENUMLEN and EMTHYEAR
  PR6_PR140 Allocation flag for the amount
  of time the respondent worked at current
  job or business and the reporting units
  (months or years)
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D EPENSNYN      2      128
T PR: Availability of pension or retirement
  plans
  PR7_PR150 Now I'd like to ask about
  retirement plans offered on this job, not
  Social Security, but plans that are
  sponsored by your (job/business). This
  includes regular pension plans as well as
  other kinds of retirement plans like
  thrift and savings plans, 401(k) or 403(b)
  plans, and deferred profit-sharing and
  stock plans. Does your (job/business) have
  any kind of pension or retirement plans
  for anyone in your company or
  organization? Universe =
  All respondents age 15 and over who held a
  job or owned a business as of the last
  day of the reference period (RMNJBBS>0)
V          -1 .Not in Universe
V          1 .Yes

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V          2 .No

D APENSNYN    1    130
T PR: Allocation flag for EPENSNYN
    PR7_PR150 Allocation flag for availability
    of pension or retirement plans at
    respondent's job/business
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D EINCPENS    2    131
T PR: Respondent's participation in pension
    plans
    PR8_PR160 Are you included in such a plan?
    Universe =          All respondents
    age 15 and over who held a job or owned a
    business as of the last day of the
    reference period (RMNJBBS > 0), and whose
    job or business offered a pension or
    retirement plans (EPENSNYN = 1)
V          -1 .Not in Universe
V          1 .Yes
V          2 .No

D AINCPENS    1    133
T PR: Allocation flag for EINCPENS
    PR8_PR160 Allocation flag for respondent's
    participation in pension or retirement
    plans
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D ENOINA01    2    134
T PR: Reason respondent not covered by pension
    plan
    PR9_1PR170 Why are you not included? No
    one in my type of job is allowed in the
    plan Universe =          All
    respondents age 15 and over who held a
    job or owned a business as of the last day
    of the reference period (RMNJBBS > 0),
    and who are not included in their
    employer/business pension plan (EINCPENS
    = 2)
V          -1 .Not in Universe
V          1 .Yes
V          2 .No

D ENOINA02    2    136
T PR: Reason respondent not covered by pension
    plan
    PR9_2PR170 Why are you not included? Don't
    work enough hours, weeks, or months per

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    year Universe =                All
    respondents age 15 and over who held a
    job or owned a business as of the last day
    of the reference period (RMNJBBS > 0),
    and who are not included in their
    employer/business pension plan (EINCPENS
    = 2)
V          -1 .Not in Universe
V           1 .Yes
V           2 .No

D ENOINA03      2      138
T PR: Reason respondent not covered by pension
  plan
    PR9_3PR170 Why are you not included?
    Haven't worked long enough for this
    employer Universe =                All
    respondents age 15 and over who held a
    job or owned a business as of the last day
    of the reference period (RMNJBBS > 0),
    and who are not included in their
    employer/business pension plan (EINCPENS
    = 2)
V          -1 .Not in Universe
V           1 .Yes
V           2 .No

D ENOINA04      2      140
T PR: Reason respondent not covered by pension
  plan
    PR9_4PR170 Why are you not included?
    Started job too close to retirement date
    Universe =                All respondents
    age 15 and over who held a job or owned a
    business as of the last day of the
    reference period (RMNJBBS > 0), and who
    are not included in their
    employer/business pension plan (EINCPENS
    = 2)
V          -1 .Not in Universe
V           1 .Yes
V           2 .No

D ENOINA05      2      142
T PR: Reason respondent not covered by pension
  plan
    PR9_5PR170 Why are you not included? Too
    young Universe =                All
    respondents age 15 and over who held a
    job or owned a business as of the last day
    of the reference period (RMNJBBS > 0),
    and who are not included in their
    employer/business pension plan (EINCPENS
    = 2)
V          -1 .Not in Universe
V           1 .Yes
V           2 .No

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D ENOINA06 2 144
T PR: Reason respondent not covered by pension
plan
PR9_6PR170 Why are you not included? Can't
afford to contribute Universe =
All respondents age 15 and over who
held a job or owned a business as of the
last day of the reference period (RMNJBBS
> 0), and who are not included in their
employer/business pension plan (EINCPENS
= 2)
V -1 .Not in Universe
V 1 .Yes
V 2 .No

D ENOINA07 2 146
T PR: Reason respondent not covered by pension
plan
PR9_7PR170 Why are you not included? Don't
want to tie up money Universe =
All respondents age 15 and over who
held a job or owned a business as of the
last day of the reference period (RMNJBBS
> 0), and who are not included in their
employer/business pension plan (EINCPENS
= 2)
V -1 .Not in Universe
V 1 .Yes
V 2 .No

D ENOINA08 2 148
T PR: Reason respondent not covered by pension
plan
PR9_8PR170 Why are you not included?
Employer doesn't contribute, or contribute
enough Universe = All
respondents age 15 and over who held a
job or owned a business as of the last day
of the reference period (RMNJBBS > 0),
and who are not included in their
employer/business pension plan (EINCPENS
= 2)
V -1 .Not in Universe
V 1 .Yes
V 2 .No

D ENOINA09 2 150
T PR: Reason respondent not covered by pension
plan
PR9_9PR170 Why are you not included? Don't
plan to be in job long enough Universe =
All respondents age 15 and
over who held a job or owned a business
as of the last day of the reference
period (RMNJBBS > 0), and who are not
included in their employer/business

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        pension plan (EINCPENS = 2)
V          -1 .Not in Universe
V           1 .Yes
V           2 .No

D ENOINA10      2      152
T PR: Reason respondent not covered by pension
  plan
    PR9_10PR170 Why are you not included?
    Don't need it Universe =
    All respondents age 15 and over who held a
    job or owned a business as of the last
    day of the reference period (RMNJBBS >
    0), and who are not included in their
    employer/business pension plan (EINCPENS
    = 2)
V          -1 .Not in Universe
V           1 .Yes
V           2 .No

D ENOINA11      2      154
T PR: Reason respondent not covered by pension
  plan
    PR9_11PR170 Why are you not included? Have
    an IRA or other pension plan coverage
    Universe =
    All respondents
    age 15 and over who held a job or owned a
    business as of the last day of the
    reference period (RMNJBBS > 0), and who
    are not included in their
    employer/business pension plan (EINCPENS
    = 2)
V          -1 .Not in Universe
V           1 .Yes
V           2 .No

D ENOINA12      2      156
T PR: Reason respondent not covered by pension
  plan
    PR9_12PR170 Why are you not included?
    Spouse has pension plan Universe =
    All respondents age 15 and over who
    held a job or owned a business as of the
    last day of the reference period (RMNJBBS
    > 0), and who are not included in their
    employer/business pension plan (EINCPENS
    = 2)
V          -1 .Not in Universe
V           1 .Yes
V           2 .No

D ENOINA13      2      158
T PR: Reason respondent not covered by pension
  plan
    PR9_13PR170 Why are you not included?
    Haven't thought about it Universe =
    All respondents age 15 and over

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who held a job or owned a business as of the last day of the reference period (RMNJBBS > 0), and who are not included in their employer/business pension plan (EINCPENS = 2)

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D ENOINA14 2 160
T PR: Reason respondent not covered by pension plan
PR9_14PR170 Why are you not included? Some other reason Universe = All respondents age 15 and over who held a job or owned a business as of the last day of the reference period (RMNJBBS > 0), and who are not included in their employer/business pension plan (EINCPENS = 2)

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D ANOINA 1 162
T PR: Allocation flag for ENOINA01-ENOINA14
PR9_PR170 Allocation flag for reason(s) respondent did not participate in pension or retirement plans

V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

D ETDEFFEN 2 163
T PR: Asks if pension plan is like a 401(k)
PR10_PR180 Is the plan something like a 401(k) plan, where workers contribute to the plan and their contributions are tax deferred? Universe = All respondents age 15 and over who held a job or owned a business as of the last day of the reference period (RMNJBBS>0), and whose employer/business offers pension or retirement plans, and who are not included in a pension plan (EINCPENS = 2)

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D ATDEFFEN 1 165
T PR: Allocation flag for ETDEFFEN
PR10_PR180 Allocation flag for query about pension/retirement plan being like a 401(k)

V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation


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V          3 .Logical imputation (derivation)

D EMULTPEN      2      166
T PR: Asks how many pension plans respondent has
PR11_PR190 Some workers participate in
more than one retirement plan. For
example, they might have a regular pension
plan and also have some kind of retirement
savings plan. How many different pension
or retirement plans do you have on this
job? Universe = All
respondents age 15 and over who held a
job or owned a business as of the last day
of the reference period (RMNJBBS>0), and
whose employer/business offers pension or
retirement plans, and who are included in
a pension plan (EINCPENS = 1)
V          -1 .Not in Universe
V          1:99 .Number of plans

D AMULTPEN      1      168
T PR: Allocation flag for EMULTPEN
PR11_PR190 Allocation flag for query about
number of pension/retirement plans the
respondent has on their job/business
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D E1PENTYP      2      169
T PR: Asks which type of pension plan
PR12_PR200 The following question is about
the plan you would consider to be your
most important retirement plan on this
job. There are several types of retirement
plans. In the first type of plan, your
benefit is defined by a formula usually
involving your earnings and years on the
job. In the second type of plan,
contributions made by you and/or your
employer go into an individual account for
you. The third type of plan shares some
characteristics with the above two plans.
In this type of plan, your employer
contributes a value equal to a percent of
each of your earnings each year and there
is a rate of return on that contribution.
This type of plan is sometimes called a
cash balance plan. What type of plan are
you in? Universe = All
respondents age 15 and over who held a
job or owned a business as of the last
day of the reference period (RMNJBBS>0),
and whose employer/business offers pension
or retirement plans, and who are included
in a pension plan (EINCPENS = 1), and who

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        are covered by one or more than one plan
        (EMULTPEN ge 1)
V         -1 .Not in Universe
V          1 .Plan based on earnings and years
V           .on the job
V          2 .Individual account plan
V          3 .Cash balance plan

D A1PENTYP      1      171
T PR: Allocation flag for E1PENTYP
      PR12_PR200 Allocation flag for type of
      pension or retirement plan the respondent
      is in
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D E2PENTYP      2      172
T PR: Asks second type of pension plan
      PR13_PR210 What is your second most
      important plan on this job?  Universe =
              All respondents age 15 and over
              who held a job or owned a business as of
              the last day of the reference period
              (RMNJBBBS>0), and whose employer/business
              offers pension or retirement plans, and
              who are included in a pension plan
              (EINCPENS = 1), and who are covered by
              more than one pension plan (EMULTPEN>1)
V          -1 .Not in Universe
V           1 .Plan based on earnings and years
V            .on the job
V           2 .Individual account plan
V           3 .Cash balance plan

D A2PENTYP      1      174
T PR: Allocation flag for E2PENTYP
      PR13_PR210 Allocation flag for second type
      of pension or retirement plan the
      respondent is in
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D E1PENCTR      2      175
T PR: Asks if respondent contributes to pension
      plan
      PR14_PR220 The following series of
      questions refer to your most important
      plan. Do you contribute any money to this
      plan, for example, through payroll
      deductions?  Universe =          All
      respondents age 15 and over who held a
      job or owned a business as of the last day
      of the reference period (RMNJBBBS>0), and

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whose employer/business offers a pension or retirement plans, and who are included in a pension plan (EINCPENS = 1) and the type of primary pension plan was either a plan based on earnings and years on the job or an individual account plan (E1PENTYP = 1 or 2)

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D A1PENCTR 1 177

T PR: Allocation flag for E1PENCTR
PR14_PR220 Allocation flag for
respondent's contributions to pension or
retirement plan (yes/no)

V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

D E1TAXDEF 2 178

T PR: Asks if contributions are tax-deferred
PR14A_PR220A In some plans like 401(k)
plans the money you contribute is
tax-deferred. Are your contributions to
this plan tax-deferred? Universe =
All respondents age 15 and over who
held a job or owned a business as of the
last day of the reference period
(RMNJBBS>0), and who are covered by a
pension plan (EINCPENS = 1), and the type
of the primary pension plan was either a
plan based on earnings and years on the
job or an individual account plan
(E1PENTYP = 1 or 2), and who made
contributions to the primary pension plan
(E1PENCTR = 1)

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D A1TAXDEF 1 180

T PR: Allocation flag for E1TAXDEF
PR14A_PR220A Allocation flag for
tax-deferred nature (yes/no) of
respondent's contributions to pension or
retirement plan

V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

D E1RECBEN 2 181

T PR: Asks if respondent keeps retirement
benefit
PR14B_PR220B If you were to leave your job

now or within the next few months, could you eventually receive some benefits from this plan when you reach retirement age?
 Universe = All respondents age 15 and over who held a job or owned a business as of the last day of the reference period (RMNJBBS>0), and whose employer/business offers a pension or retirement plans, and who are included in a pension plan (EINCPENS = 1)

V -1 .Not in Universe
 V 1 .Yes
 V 2 .No

D A1RECBEN 1 183

T PR: Allocation flag for E1RECBEN

PR14B_PR220B Allocation flag for whether respondent's pension or retirement benefits can be retained after leaving job before retirement

V 0 .Not imputed
 V 1 .Statistical imputation (hotdeck)
 V 2 .Cold deck imputation
 V 3 .Logical imputation (derivation)

D E1LVLMP5 2 184

T PR: Asks if respondent can get lump-sum

PR14C_PR220C If you left your job now, could you get a lump-sum payment from this plan when you left? Universe =

All respondents age 15 and over who held a job or owned a business as of the last day of the reference period (RMNJBBS>0), and whose employer/business offers a pension or retirement plans, and who are included in a pension plan (EINCPENS = 1)

V -1 .Not in Universe
 V 1 .Yes
 V 2 .No

D A1LVLMP5 1 186

T PR: Allocation flag for E1LVLMP5

PR14C_PR220C Allocation flag for whether respondent pension or retirement benefits could be paid out as a lump-sum

V 0 .Not imputed
 V 1 .Statistical imputation (hotdeck)
 V 2 .Cold deck imputation
 V 3 .Logical imputation (derivation)

D T1YRSINC 2 187

T PR: Asks number of years in the plan

PR15_PR230 How many years have you been included in this plan? Universe =

All respondents age 15 and over who held a job or owned a business as of the

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last day of the reference period
(RMNJBBS>0), and whose employer/business
offers a pension or retirement plans, and
who are included in a pension plan
(EINCPENS = 1)
V      -1 .Not in Universe
V      1:30 .Number of Years

D ALYRSINC      1      189
T PR: Allocation flag for TLYRSINC
      PR15_PR230 Allocation flag for number of
      years respondent has been in plan
V      0 .Not imputed
V      1 .Statistical imputation (hotdeck)
V      2 .Cold deck imputation
V      3 .Logical imputation (derivation)

D ELSSOFST      2      190
T PR: Asks if benefits affected by social
      security
      PR16_PR231 Will your benefits from this
      plan be either increased or decreased
      because you participate in the Social
      Security Program? Universe =
      All respondents age 15 and over who held
      a job or owned a business as of the last
      day of the reference period (RMNJBBS>0),
      and whose employer/business offers a
      pension or retirement plans, and who are
      included in a pension plan (EINCPENS = 1)
V      -1 .Not in Universe
V      1 .Yes
V      2 .No
V      3 .Do not participate in Social
V      .Security

D ALSSOFST      1      192
T PR: Allocation flag for ELSSOFST
      PR16_PR231 Allocation flag for if benefits
      will be affected by Social Security
      participation
V      0 .Not imputed
V      1 .Statistical imputation (hotdeck)
V      2 .Cold deck imputation
V      3 .Logical imputation (derivation)

D TLYRCONT      8      193
T PR: Asks amount contributed to plan last year
      PR17_PR232 How much has your
      (job/business) contributed to your plan
      within the last year? Universe =
      All respondents age 15 and over who
      held a job or owned a business as of the
      last day of the reference period
      (RMNJBBS>0), AND ((whose pension plan is
      an individual account or a cash balance
      plan (ELPENTYP=2 or ELPENTYP = 3) AND

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        either (1) the respondent does not make
        any contributions to the plan (ElPENCTR
        ne 1)), OR (2) the respondent made a
        contribution and the contribution was not
        tax- deferred (ElPENCTR = 1 and ElTAXDEF
        ne 1)))
V          0 .Not In Universe
V      1:20000 .Amount in dollars

D A1YRCONT      1      201
T PR: Allocation flag for T1YRCONT
      PR17_PR232 Allocation flag for amount
      contributed by job/business to plan
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D T1TOTAMT      8      202
T PR: Asks plan balance at end of reference
      period
      PR18_PR233 As of the end of (last month of
      reference period), what was the total
      amount of money in your account? Universe
      =          All respondents age 15 and
      over who held a job or owned a business
      as of the last day of the reference
      period (RMNJBBS>0), AND ((whose pension
      plan is an individual account or a cash
      balance plan (ElPENTYP=2 or 3), AND
      either (1) the respondent does not make
      any contributions to the plan (ElPENCTR
      ne 1)), OR (2) the respondent made a
      contribution and the contribution was not
      tax-deferred (ElPENCTR = 1 and ElTAXDEF
      ne 1)))
V          0 .Not In Universe
V      1:225000 .Amount in dollars

D A1TOTAMT      1      210
T PR: Allocation flag for T1TOTAMT
      PR18_PR233 Allocation flag for the plan's
      balance at the end of the reference period
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D E2PENCTR      2      211
T PR: Asks if respondent contributes to second
      plan
      PR20_PR240 The following series of
      questions refer to your second most
      important pension plan. Do you contribute
      any money to this plan, for example,
      through payroll deductions? Universe =
      All respondents age 15 and over

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who held a job or owned a business as of the last day of the reference period (RMNJBBBS>0), and whose employer/business offers a pension or retirement plan, and who are included in a pension plan, and who are covered by more than one pension plan (EMULTPEN > 1) and the second most important plan is either based on earnings and years on the job or an individual account (E2PENTYP = 1 or E2PENTYP = 2)

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D A2PENCTR 1 213

T PR: Allocation flag for E2PENCTR
PR20_PR240 Allocation flag for respondent's contributions to second plan

V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

D E2TAXDEF 2 214

T PR: Asks if contributions are tax-deferred
PR20A_PR240A In some plans like 401(k) plans the money you contribute is tax-deferred. Are your contributions to this plan tax-deferred? Universe =
All respondents age 15 and over who held a job or owned a business as of the last day of the reference period (RMNJBBBS>0), and whose employer/business offers a pension or retirement plan, and the second most important plan is either based on earnings and years on the job or an individual account (E2PENTYP = 1 or 2), and who makes contributions to the plan (E2PENCTR = 1)

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D A2TAXDEF 1 216

T PR: Allocation flag for E2TAXDEF
PR20A_PR240A Allocation flag for tax-deferred nature (yes/no) of respondent's contributions to second pension or retirement plan

V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

D E2RECBEN 2 217

T PR: Asks if respondent keeps benefits

PR20B_PR240B If you were to leave your job now or within the next few months, could you eventually receive some benefits from this plan when you reach retirement age?

Universe = All respondents age 15 and over who held a job or owned a business as of the last day of the reference period (RMNJBBS>0), and whose employer/business offers a pension or retirement plan, and who are covered by a second pension plan (EMULTPEN>1)

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D A2RECBEN 1 219

T PR: Allocation flag for E2RECBEN

PR20B_PR240B Allocation flag for whether the respondent's pension or retirement benefits can be retained after leaving the job before retirement

V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

D E2LVLMPs 2 220

T PR: Asks if respondent can get lump-sum

PR20C_PR240C If you left your job now, could you get a lump-sum payment from this plan when you left? Universe =

All respondents age 15 and over who held a job or owned a business as of the last day of the reference period (RMNJBBS>0), and whose employer/business offers a pension or retirement plan, and who are covered by a second pension plan (EMULTPEN>1)

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D A2LVLMPs 1 222

T PR: Allocation flag for E2LVLMPs

PR20C_PR240C Allocation flag for whether respondent's pension or retirement benefits from second most important plan could be paid out as a lump-sum

V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

D T2YRSINC 2 223

T PR: Asks number of years in second plan

PR21_PR250 How many years have you been included in this plan? Universe =

All respondents age 15 and over who held a job or owned a business as of the last day of the reference period (RMNJBBS>0), and who are covered by a second pension plan (EMULTPEN>1)

V -1 .Not in Universe
 V 1:30 .Number of Years

D A2YRSINC 1 225
 T PR: Allocation flag for T2YRSINC
 PR21_PR250 Allocation flag for number of years respondent has been in second plan

V 0 .Not imputed
 V 1 .Statistical imputation (hotdeck)
 V 2 .Cold deck imputation
 V 3 .Logical imputation (derivation)

D E2SSOFST 2 226
 T PR: Asks if Soc. Sec. participation affects benefits
 PR22_PR251 Will your benefits from this plan be either increased or decreased because you participate in the Social Security program? Universe =
 All respondents age 15 and over who held a job or owned a business as of the last day of the reference period (RMNJBBS>0), and who are covered by a second pension plan (EMULTPEN>1)

V -1 .Not in Universe
 V 1 .Yes
 V 2 .No
 V 3 .Do not participate in Social
 V .Security

D A2SSOFST 2 228
 T PR: Allocation flag for E2SSOFST
 PR22_PR251 Allocation flag for whether second plan benefits have been affected by Social Security participation

V 0 .Not imputed
 V 1 .Statistical imputation (hotdeck)
 V 2 .Cold deck imputation
 V 3 .Logical imputation (derivation)

D T2YRCONT 8 230
 T PR: Asks amount contributed to second plan
 PR23_PR252 How much has your (job/business) contributed to your plan within the last year? Universe =
 All respondents age 15 and over who held a job or owned a business as of the last day of the reference period (RMNJBBS>0), AND who are covered by more than one pension plan (EMULTPEN > 1), AND whose secondary pension plan is an individual account or cash balance plan

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        (E2PENTYP = 2 or 3), AND either (1) the
        respondent (does not make any
        contributions to the plan (E2PENCTR ne 1)
        OR (2) the respondent made a contribution
        and the contributions are not
        tax-deferred (E2PENCTR = 1 and E2TAXDEF
        ne 1))
V          0 .Not In Universe
V      1:20000 .Amount in dollars

D A2YRCONT      1      238
T PR: Allocation flag for T2YRCONT
      PR23_PR252 Allocation flag for amount
      respondent's job or business contributed
      to his/her second pension or retirement
      plan within the last year
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D T2TOTAMT      8      239
T PR: Asks second plan balance
      PR24_PR253 As of the end of (last month of
      reference period) what was the total
      amount of money in your account? Universe
      = All respondents age 15 and
      over who held a job or owned a business
      as of the last day of the reference
      period (RMNJBS>0), AND who are covered
      by more than one pension plan
      (EMULTPEN>1), AND whose secondary pension
      plan is an individual account or a cash
      balance plan (E2PENTYP= 2 or 3), AND
      either (1) the respondent (does not make
      any contributions to the plan (E2PENCTR
      ne 1) OR (2) the respondent made a
      contribution and the contributions are
      not tax-deferred (E2PENCTR = 1 and
      E2TAXDEF ne 1))
V          0 .Not In Universe
V      1:300000 .Amount in dollars

D A2TOTAMT      1      247
T PR: Allocation flag for T2TOTAMT
      PR24_PR253 Allocation flag for second plan
      balance at the end of the reference period
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D E3TAXDEF      2      248
T PR: Availability of tax-deferred retirement
      plan
      PR26_PR260 I'd like to make sure about a
      particular type of retirement plan that

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allows workers to make tax-deferred contributions. For example, you might choose to have your employer put part of your salary into a retirement savings account and you do not have to pay taxes on this money until you take it out or retire. These plans are called by different names, including 401(k) plans, pre-tax plans, salary reduction plans and 403(b) plans. Does your (job/business) offer a plan like this to anyone in your company or organization? Universe =

All respondents age 15 and over who held a job or owned a business as of the last day of the reference period (RMNJBBS>0), and either 1) whose company/business did not offer a pension plan (EPENSNYN = 2) or 2) respondent did not know or refused if they participated or 3) respondent did not have a tax-deferred plan ((EMULTPEN = 1 and E1TAXDEF ne 1) or (EMULTPEN > 1 and E1TAXDEF ne 1 and E2TAXDEF ne 1))

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D A3TAXDEF 1 250

T PR: Allocation flag for E3TAXDEF

PR26_PR260 Allocation flag for whether respondent's job or business offers a tax-deferred pension or retirement plan

V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

D E3PARTIC 2 251

T PR: Participation in tax-deferred retirement plan

PR27_PR270 Are you participating in this plan? Universe = All respondents age 15 and over who held a job or owned a business as of the last day of the reference period (RMNJBBS>0), and whose company offered a tax-deferred plan (E3TAXDEF = 1)

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D A3PARTIC 1 253

T PR: Allocation flag for E3PARTIC

PR27_PR270 Allocation flag for whether the respondent participates in tax-deferred pension or retirement plan

V 0 .Not imputed

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V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D ENOINB01      2      254
T PR: Reason respondent not covered by pension
  PR28_1PR280 Why are you not included? No
  one in my type of job is allowed in the
  plan Universe =          All
  respondents age 15 and over who held a
  job or owned a business as of the last day
  of the reference period (RMNJBBS>0), and
  who did not participate in a tax-deferred
  retirement plan offered by his/her job or
  business (E3PARTIC = 2)
V          -1 .Not in Universe
V           1 .Yes
V           2 .No

D ENOINB02      2      256
T PR: Reason respondent not covered by pension
  PR28_2PR280 Why are you not included?
  Don't work enough hours, weeks, or months
  per year Universe =          All
  respondents age 15 and over who held a
  job or owned a business as of the last day
  of the reference period (RMNJBBS>0), and
  who did not participate in a tax-deferred
  retirement plan offered by his/her job or
  business (E3PARTIC = 2)
V          -1 .Not in Universe
V           1 .Yes
V           2 .No

D ENOINB03      2      258
T PR: Reason respondent not covered by pension
  plan
  PR28_3PR280 Why are you not included?
  Haven't worked long enough for this
  employer Universe =          All
  respondents age 15 and over who held a
  job or owned a business as of the last day
  of the reference period (RMNJBBS>0), and
  who did not participate in a tax-deferred
  retirement plan offered by his/her job or
  business (E3PARTIC = 2)
V          -1 .Not in Universe
V           1 .Yes
V           2 .No

D ENOINB04      2      260
T PR: Reason respondent not covered by pension
  plan
  PR28_4PR280 Why are you not included?
  Started job too close to retirement date
  Universe =          All respondents
  age 15 and over who held a job or owned a

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business as of the last day of the
reference period (RMNJBBS>0), and who did
not participate in a tax-deferred
retirement plan offered by his/her job or
business (E3PARTIC = 2)
V      -1 .Not in Universe
V      1 .Yes
V      2 .No

D ENOINB05      2      262
T PR: Reason respondent not covered by pension
plan
PR28_5PR280 Why are you not included? Too
young Universe = All
respondents age 15 and over who held a
job or owned a business as of the last day
of the reference period (RMNJBBS>0), and
who did not participate in a tax-deferred
retirement plan offered by his/her job or
business (E3PARTIC = 2)
V      -1 .Not in Universe
V      1 .Yes
V      2 .No

D ENOINB06      2      264
T PR: Reason respondent not covered by pension
plan
PR28_6PR280 Why are you not included?
Can't afford to contribute Universe =
All respondents age 15 and over
who held a job or owned a business as of
the last day of the reference period
(RMNJBBS>0), and who did not participate
in a tax-deferred retirement plan offered
by his/her job or business (E3PARTIC = 2)
V      -1 .Not in Universe
V      1 .Yes
V      2 .No

D ENOINB07      2      266
T PR: Reason respondent is not covered
PR28_7PR280 Why are you not included?
Don't want to tie up money Universe =
All respondents age 15 and over
who held a job or owned a business as of
the last day of the reference period
(RMNJBBS>0), and who did not participate
in a tax-deferred retirement plan offered
by his/her job or business (E3PARTIC = 2)
V      -1 .Not in Universe
V      1 .Yes
V      2 .No

D ENOINB08      2      268
T PR: Reason respondent not covered by pension
plan
PR28_8PR280 Why are you not included?

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Employer doesn't contribute, or contribute
 enough Universe = All
 respondents age 15 and over who held a
 job or owned a business as of the last day
 of the reference period (RMNJBBS>0), and
 who did not participate in a tax-deferred
 retirement plan offered by his/her job or
 business (E3PARTIC = 2)

V -1 .Not in Universe
 V 1 .Yes
 V 2 .No

D ENOINB09 2 270

T PR: Reason respondent not covered by pension
 plan

PR28_9PR280 Why are you not included?
 Don't plan to be in job long enough
 Universe = All respondents
 age 15 and over who held a job or owned a
 business as of the last day of the
 reference period (RMNJBBS>0), and who did
 not participate in a tax-deferred
 retirement plan offered by his/her job or
 business (E3PARTIC = 2)

V -1 .Not in Universe
 V 1 .Yes
 V 2 .No

D ENOINB10 2 272

T PR: Reason respondent not covered by pension
 plan

PR28_10PR280 Why are you not included?
 Don't need it Universe =
 All respondents age 15 and over who held a
 job or owned a business as of the last
 day of the reference period (RMNJBBS>0),
 and who did not participate in a
 tax-deferred retirement plan offered by
 his/her job or business (E3PARTIC = 2)

V -1 .Not in Universe
 V 1 .Yes
 V 2 .No

D ENOINB11 2 274

T PR: Reason respondent not covered by pension
 plan

PR28_11PR280 Why are you not included?
 Have an IRA or other pension plan coverage
 Universe = All respondents
 age 15 and over who held a job or owned a
 business as of the last day of the
 reference period (RMNJBBS>0), and who did
 not participate in a tax-deferred
 retirement plan offered by his/her job or
 business (E3PARTIC = 2)

V -1 .Not in Universe
 V 1 .Yes

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V          2 .No

D ENOINB12      2      276
T PR: Reason respondent not covered by pension
  plan
  PR28_12PR280 Why are you not included?
  Spouse has pension plan Universe =
    All respondents age 15 and over who
    held a job or owned a business as of the
    last day of the reference period
    (RMNJBBS>0), and who did not participate
    in a tax-deferred retirement plan offered
    by his/her job or business (E3PARTIC = 2)
V          -1 .Not in Universe
V           1 .Yes
V           2 .No

D ENOINB13      2      278
T PR: Reason respondent not covered by pension
  plan
  PR28_13PR280 Why are you not included?
  Haven't thought about it Universe =
    All respondents age 15 and over
    who held a job or owned a business as of
    the last day of the reference period
    (RMNJBBS>0), and who did not participate
    in a tax-deferred retirement plan offered
    by his/her job or business (E3PARTIC = 2)
V          -1 .Not in Universe
V           1 .Yes
V           2 .No

D ENOINB14      2      280
T PR: Reason respondent not covered by pension
  plan
  PR28_14PR280 Why are you not included?
  Some other reason Universe =
    All respondents age 15 and over who held
    a job or owned a business as of the last
    day of the reference period (RMNJBBS>0),
    and who did not participate in a
    tax-deferred retirement plan offered by
    his/her job or business (E3PARTIC = 2)
V          -1 .Not in Universe
V           1 .Yes
V           2 .No

D ANOINB        1      282
T PR: Allocation flag for ENOINB01 - ENOINB14
  PR28_PR280 Allocation flag for reason(s)
  respondent did not participate in pension
  or retirement plans
V           0 .Not imputed
V           1 .Statistical imputation (hotdeck)
V           2 .Cold deck imputation
V           3 .Logical imputation (derivation)

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D EMATCHYN 2 283

T PR: Contributions to the plan by employer
PR28A_PR281 Does your employer provide a matching contribution, or contribute to the plan in any other way? Universe = All respondents age 15 and over who held a job or owned a business as of the last day of the reference period (RMNJBBS>0), and either (the type of tax-deferred plan he/she did not participate in, allowed the respondent to make contributions (ETDEFFEN = 1) or the respondent did not participate in a tax-deferred retirement plan offered by his/her job or business (E3PARTIC = 2))

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D AMATCHYN 1 285

T PR: Allocation flag for EMATCHYN
PR28A_PR281 Allocation flag for whether the respondent's employer provide a matching contribution, or contribute to the plan in any other way

V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

D EFUTPART 2 286

T PR: Respondent expectation of future participation
PR29_PR290 Do you expect to start participating in this plan within the next few years? Universe = All respondents age 15 and over who held a job or owned a business as of the last day of the reference period (RMNJBBS>0), and either (the type of tax-deferred plan he/she did not participate in, allowed the respondent to make contributions (ETDEFFEN = 1) or the respondent did not participate in a tax-deferred retirement plan offered by his/her job or business (E3PARTIC = 2))

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D AFUTPART 1 288

T PR: Allocation flag for EFUTPART
PR29_PR290 Allocation flag for respondent's expectations of future plan participation

V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)

V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

D TSLFCON1 8 289

T PR: Amount of respondent's contributions
PR30_PR300 Referring to your most
important plan, how much do you contribute
toward this plan? Universe =
All respondents age 15 and over who held
a job or owned a business as of the last
day of the reference period (RMNJBBS>0),
and either (whose contributions to
primary pension or retirement plan are
tax-deferred (E1TAXDEF = 1), or whose
contributions to secondary pension or
retirement plan are tax-deferred (E2TAXDEF
= 1), or the respondent participated in a
tax-deferred retirement plan offered by
his/her job or business (E3PARTIC = 1))
V -4 .No contributions
V 0 .Not In Universe
V 1:26000 .Amount in dollars

D ESLFCON2 2 297

T PR: Frequency of contributions
PR30_PR300 Is this per week, biweekly, per
month, per quarter, or per year? Universe
=
All respondents age 15 and
over who held a job or owned a business
as of the last day of the reference
period (RMNJBBS>0), and either (whose
contributions to primary pension or
retirement plan are tax-deferred (E1TAXDEF
= 1), or whose contributions to secondary
pension or retirement plan are
tax-deferred (E2TAXDEF = 1), or the
respondent participated in a tax-deferred
retirement plan offered by his/her job or
business (E3PARTIC = 1))
V -1 .Not in Universe
V 1 .Week
V 2 .Biweekly
V 3 .Month
V 4 .Quarter
V 5 .Year

D ESLFCON3 4 299

T PR: Percent of salary contributed
PR30_PR300 What percent of your salary did
you contribute with? Universe =
All respondents age 15 and over who
held a job or owned a business as of the
last day of the reference period
(RMNJBBS>0), and either (whose
contributions to primary pension or
retirement plan are tax-deferred (E1TAXDEF
= 1), or whose contributions to secondary

pension or retirement plan are tax-deferred (E2TAXDEF = 1), or the respondent participated in a tax-deferred retirement plan offered by his/her job or business (E3PARTIC = 1))

V -1 .Not in Universe

V 0001:9999 .Percent (2 Implied decimals)

D ASLFCON3 1 303

T PR: Allocation flag for ESLFCON3

PR30_PR300 Allocation flag for percent of salary contributed by respondent into the plan

V 0 .Not imputed

V 1 .Statistical imputation (hotdeck)

V 2 .Cold deck imputation

V 3 .Logical imputation (derivation)

D EEMPCONT 2 304

T PR: Asks if job/business contribute towards plan

PR31_PR310 Does your (job/business) make contributions into this plan? Universe =

 All respondents age 15 and over who held a job or owned a business as of the last day of the reference period (RMNJBBS>0), and either (whose contributions to primary pension or retirement plan are tax-deferred (E1TAXDEF = 1), or whose contributions to secondary pension or retirement plan are tax-deferred (E2TAXDEF = 1), or who participates in a tax-deferred retirement plan offered by his/her job or business (E3PARTIC = 1))

V -1 .Not in Universe

V 1 .Yes

V 2 .No

D AEMPCONT 1 306

T PR: Allocation flag for EEMPCONT

PR31_PR310 Allocation flag for job/business contributions into plan (yes/no)

V 0 .Not imputed

V 1 .Statistical imputation (hotdeck)

V 2 .Cold deck imputation

V 3 .Logical imputation (derivation)

D ECONTDEP 2 307

T PR: Asks about linkage of contribution amounts

PR32_PR320 Does the amount that your (job/business) contributes to the plan depend entirely, partly, or not at all on the amount you put in? Universe =

 All respondents age 15 and over who held a job or owned a business as of the

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last day of the reference period
(RMNJBBS>0), [and either (whose
contributions to primary pension or
retirement plan are tax-deferred
(E1TAXDEF=1), or whose contributions to
secondary pension or retirement plan are
tax-deferred (E2TAXDEF=1), or who
participates in a tax-deferred retirement
plan offered by his/her job or business
(E3PARTIC=1)),] AND whose job or business
contributes to the pension or retirement
plan (EEMPCONT=1)
V      -1 .Not in Universe
V      1 .Depends entirely
V      2 .Depends partly
V      3 .Not at all

D ACONTDEP      1      309
T PR: Allocation flag for ECONTDEP
      PR32_PR320 Allocation flag for linkage of
      respondent and job/business contributions
      into plan
V      0 .Not imputed
V      1 .Statistical imputation (hotdeck)
V      2 .Cold deck imputation
V      3 .Logical imputation (derivation)

D TJBCONT1      8      310
T PR: Amount of job/business contributions to
      plan
      PR33_1PR330 How much does your
      (job/business) actually contribute to the
      plan? Universe = All
      respondents age 15 and over who held a
      job or owned a business as of the last day
      of the reference period (RMNJBBS>0), and
      [either (whose contributions to primary
      pension or retirement plan are
      tax-deferred (E1TAXDEF=1), or whose
      contributions to secondary pension or
      retirement plan are tax-deferred
      (E2TAXDEF=1), or who participates in a
      tax-deferred retirement plan offered by
      his/her job or business (E3PARTIC=1)),]
      AND whose job or business contributes to
      the pension or retirement plan
      (EEMPCONT=1)
V      0 .Not In Universe
V      1:15000 .Amount in dollars

D AJBCONT1      1      318
T PR: Allocation flag for TJBCONT1
      PR33_1PR330 Allocation flag for amount
      contributed by job/business into the plan
V      0 .Not imputed
V      1 .Statistical imputation (hotdeck)
V      2 .Cold deck imputation

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V          3 .Logical imputation (derivation)

D EJBCONT2      2      319
T PR: Frequency of contributions
    PR33_2PR330 Is this per week, biweekly,
    per month, per quarter, or per year?
    (contributions by job/business) Universe
    =          All respondents age 15 and
    over who held a job or owned a business
    as of the last day of the reference
    period (RMNJBBBS>0), and [either (whose
    contributions to primary pension or
    retirement plan are tax-deferred
    (E1TAXDEF=1), or whose contributions to
    secondary pension or retirement plan are
    tax-deferred (E2TAXDEF=1), or who
    participates in a tax-deferred retirement
    plan offered by his/her job or business
    (E3PARTIC=1)),] AND whose job or business
    contributes to the pension or retirement
    plan (EEMPCONT=1)
V          -1 .Not in Universe
V           1 .Week
V           2 .Biweekly
V           3 .Month
V           4 .Quarter
V           5 .Year

D AJBCONT2      1      321
T PR: Allocation flag for EJBCONT2
    PR33_2PR330 Allocation flag for frequency
    of contributions by your job/business into
    the plan
V           0 .Not imputed
V           1 .Statistical imputation (hotdeck)
V           2 .Cold deck imputation
V           3 .Logical imputation (derivation)

D EJBCONT3      4      322
T PR: Percent of salary contibuted
    PR33_3PR330 What percent of your salary
    did your job/business contribute with?
    Universe =          All respondents
    age 15 and over who held a job or owned a
    business as of the last day of the
    reference period (RMNJBBBS>0), and [either
    (whose contributions to primary pension or
    retirement plan are tax-deferred
    (E1TAXDEF=1), or whose contributions to
    secondary pension or retirement plan are
    tax-deferred (E2TAXDEF=1), or who
    participates in a tax-deferred retirement
    plan offered by his/her job or business
    (E3PARTIC=1)),] AND whose job or business
    contributes to the pension or retirement
    plan (EEMPCONT=1)
V          -1 .Not in Universe

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V 0001:9999 .Percent (2 Implied decimals)

D AJBCONT3 1 326
T PR: Allocation flag for EJBCONT3
    PR33_3PR330 Allocation flag for percent of
    salary your job/business contributed into
    the plan
V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

D EJBCONT4 2 327
T PR: Other types of contributions
    PR33_4PR330 Through what other sources did
    your job/business contribute to the plan?
    Universe = All respondents
    age 15 and over who held a job or owned a
    business as of the last day of the
    reference period (RMNJBBS>0), and [either
    (whose contributions to primary pension or
    retirement plan are tax-deferred
    (E1TAXDEF=1), or whose contributions to
    secondary pension or retirement plan are
    tax-deferred (E2TAXDEF=1), or who
    participates in a tax-deferred retirement
    plan offered by his/her job or business
    (E3PARTIC=1)),] AND whose job or business
    contributes to the pension or retirement
    plan (EEMPCONT=1)
V -1 .Not in Universe
V 6 .Contributions out of profits
V 7 .Contribution varies

D EINVCHOS 2 329
T PR: Can respondent choose how money is
    invested
    PR34_PR340 Are you able to choose how any
    of the money in the plan is invested?
    Universe = All respondents
    age 15 and over who held a job or owned a
    business as of the last day of the
    reference period (RMNJBBS>0), and [either
    (whose contributions to primary pension or
    retirement plan are tax-deferred
    (E1TAXDEF=1), or whose contributions to
    secondary pension or retirement plan are
    tax-deferred (E2TAXDEF=1), or who
    participates in a tax-deferred retirement
    plan offered by his/her job or business
    (E3PARTIC=1)),] AND whose job or business
    either contributes or not to the pension
    or retirement plan (EEMPCONT ge 1)
V -1 .Not in Universe
V 1 .Yes
V 2 .No

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D AINVCHOS      1      331
T PR: Allocation flag for EINVCHOS
      PR34_PR340 Allocation flag for if the
      respondent has the ability to choose how
      any of the money is invested
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D EINVSEDEC     2      332
T PR: Can respondent choose how money is
      invested
      PR35_PR350 Are you able to choose how all
      of the money is invested, or just part of
      it? Universe =          All
      respondents age 15 and over who held a
      job or owned a business as of the last day
      of the reference period (RMNJBBS>0), and
      [either (whose contributions to primary
      pension or retirement plan are
      tax-deferred (E1TAXDEF=1), or whose
      contributions to secondary pension or
      retirement plan are tax-deferred
      (E2TAXDEF=1), or who participates in a
      tax-deferred retirement plan offered by
      his/her job or business (E3PARTIC=1)),]
      AND whose job or business either
      contributes or not to the pension or
      retirement plan (EEMPCONT = 1 or 2), AND
      who can either choose or not how the
      money in the plan is invested (EINVCHOS =
      1)
V          -1 .Not in Universe
V           1 .All of the money
V           2 .Part of the money

D AINVSEDEC     1      334
T PR: Allocation flag for EINVSEDEC
      PR35_PR350 Allocation flag for if the
      respondent has the ability to choose how
      all of the money is invested
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D EHOWINV1      2      335
T PR: Investment type selected for plan
      PR36_1PR360 How are the current
      contributions to this account being
      invested? Company stock of his/her
      employer Universe =          All
      respondents age 15 and over who held a
      job or owned a business as of the last day
      of the reference period (RMNJBBS>0), and
      [either (whose contributions to primary

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pension or retirement plan are tax-deferred (E1TAXDEF=1), or whose contributions to secondary pension or retirement plan are tax-deferred (E2TAXDEF=1), or who participates in a tax-deferred retirement plan offered by his/her job or business (E3PARTIC=1)),] AND whose job or business either contributes or not to the pension or retirement plan (EEMPCONT = 1 or 2), AND who could either choose or not how the money in the plan was invested (EINVCHOS ge 1)

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D EHOWINV2 2 337

T PR: Investment type selected for plan

PR36_2PR360 How are the current contributions to this account being invested? Stock funds Universe =

All respondents age 15 and over who held a job or owned a business as of the last day of the reference period (RMNJBBS>0), and [either (whose contributions to primary pension or retirement plan are tax-deferred (E1TAXDEF=1), or whose contributions to secondary pension or retirement plan are tax-deferred (E2TAXDEF=1), or who participates in a tax-deferred retirement plan offered by his/her job or business (E3PARTIC=1)),] AND whose job or business either contributes or not to the pension or retirement plan (EEMPCONT = 1 or 2), AND who could either choose or not how the money in the plan was invested (EINVCHOS ge 1)

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D EHOWINV3 2 339

T PR: Investment type selected for plan

PR36_3PR360 How are the current contributions to this account being invested? Corporate bonds or bond funds Universe = All respondents age 15 and over who held a job or owned a business as of the last day of the reference period (RMNJBBS>0), and [either (whose contributions to primary pension or retirement plan are tax-deferred (E1TAXDEF=1), or whose contributions to secondary pension or retirement plan are tax-deferred (E2TAXDEF=1), or who

participates in a tax-deferred retirement plan offered by his/her job or business (E3PARTIC=1)),] AND whose job or business either contributes or not to the pension or retirement plan (EEMPCONT = 1 or 2), AND who could either choose or not how the money in the plan was invested (EINVCHOS ge 1)

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D EHOWINV4 2 341

T PR: Investment type selected for plan
PR36_4PR360 How are the current contributions to this account being invested? Long term interest bearing securities Universe = All respondents age 15 and over who held a job or owned a business as of the last day of the reference period (RMNJBBS>0), and [either (whose contributions to primary pension or retirement plan are tax-deferred (E1TAXDEF=1), or whose contributions to secondary pension or retirement plan are tax-deferred (E2TAXDEF=1), or who participates in a tax-deferred retirement plan offered by his/her job or business (E3PARTIC=1)),] AND whose job or business either contributes or not to the pension or retirement plan (EEMPCONT = 1 or 2), AND who could either choose or not how the money in the plan was invested (EINVCHOS ge 1)

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D EHOWINV5 2 343

T PR: Investment type selected for plan
PR36_5PR360 How are the current contributions to this account being invested? Diversified stock and bond funds Universe = All respondents age 15 and over who held a job or owned a business as of the last day of the reference period (RMNJBBS>0), and [either (whose contributions to primary pension or retirement plan are tax-deferred (E1TAXDEF=1), or whose contributions to secondary pension or retirement plan are tax-deferred (E2TAXDEF=1), or who participates in a tax-deferred retirement plan offered by his/her job or business (E3PARTIC=1)),] AND whose job or business either contributes or not to the pension


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    or retirement plan (EEMPCONT = 1 or 2),
    AND who could either choose or not how
    the money in the plan was invested
    (EINVCHOS ge 1)
V      -1 .Not in Universe
V      1 .Yes
V      2 .No

D EHOWINV6      2      345
T PR: Investment type selected for plan
    PR36_6PR360 How are the current
    contributions to this account being
    invested? Government securities Universe
    =
    All respondents age 15 and
    over who held a job or owned a business
    as of the last day of the reference
    period (RMNJBBS>0), and [either (whose
    contributions to primary pension or
    retirement plan are tax-deferred
    (E1TAXDEF=1), or whose contributions to
    secondary pension or retirement plan are
    tax-deferred (E2TAXDEF=1), or who
    participates in a tax-deferred retirement
    plan offered by his/her job or business
    (E3PARTIC=1)),] AND whose job or business
    either contributes or not to the pension
    or retirement plan (EEMPCONT = 1 or 2),
    AND who could either choose or not how
    the money in the plan was invested
    (EINVCHOS ge 1)
V      -1 .Not in Universe
V      1 .Yes
V      2 .No

D EHOWINV7      2      347
T PR: Investment type selected for plan
    PR36_7PR360 How are the current
    contributions to this account being
    invested? Money market funds Universe =
    All respondents age 15 and
    over who held a job or owned a business
    as of the last day of the reference
    period (RMNJBBS>0), and [either (whose
    contributions to primary pension or
    retirement plan are tax-deferred
    (E1TAXDEF=1), or whose contributions to
    secondary pension or retirement plan are
    tax-deferred (E2TAXDEF=1), or who
    participates in a tax-deferred retirement
    plan offered by his/her job or business
    (E3PARTIC=1)),] AND whose job or business
    either contributes or not to the pension
    or retirement plan (EEMPCONT = 1 or 2),
    AND who could either choose or not how
    the money in the plan was invested
    (EINVCHOS ge 1)
V      -1 .Not in Universe

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V 1 .Yes
V 2 .No

D EHOWINV8 2 349

T PR: Investment type selected for plan
PR36_8PR360 How are the current
contributions to this account being
invested? Other investments Universe =
All respondents age 15 and over
who held a job or owned a business as of
the last day of the reference period
(RMNJBBBS>0), and [either (whose
contributions to primary pension or
retirement plan are tax-deferred
(E1TAXDEF=1), or whose contributions to
secondary pension or retirement plan are
tax-deferred (E2TAXDEF=1), or who
participates in a tax-deferred retirement
plan offered by his/her job or business
(E3PARTIC=1)),] AND whose job or business
either contributes or not to the pension
or retirement plan (EEMPCONT = 1 or 2),
AND who could either choose or not how
the money in the plan was invested
(EINVCHOS ge 1)

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D AHOWINVS 1 351

T PR: Allocation flag for EHOWINV1 - EHOWINV8
PR36_PR360 Allocation flag for investment
type(s) selected for the plan

V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

D EMOSTINV 2 352

T PR: Investment receiving largest share
PR37_PR370 Of the types of investments
just mentioned, which type is where the
largest share of current contributions are
being invested? Universe =
All respondents age 15 and over who held a
job or owned a business as of the last
day of the reference period (RMNJBBBS>0),
and [either (whose contributions to
primary pension or retirement plan are
tax-deferred (E1TAXDEF=1), or whose
contributions to secondary pension or
retirement plan are tax-deferred
(E2TAXDEF=1), or who participates in a
tax-deferred retirement plan offered by
his/her job or business (E3PARTIC=1)),]
AND whose job or business contributes or
not to the pension or retirement plan

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      (EEMPCONT = 1 or 2).
V      -1 .Not in Universe
V      1 .Employer company stock
V      2 .Stock funds
V      3 .Corporate bonds or bond funds
V      4 .Long term interest bearing
V      .securities
V      5 .Diversified stock and bond funds
V      6 .Government securities
V      7 .Money market funds
V      8 .Other investments
V      9 .Evenly split between types
V      .reported

D AMOSTINV      1      354
T PR: Allocation flag for EMOSTINV
      PR37_PR370 Allocation flag for investment
      type receiving largest share of
      contributions
V      0 .Not imputed
V      1 .Statistical imputation (hotdeck)
V      2 .Cold deck imputation
V      3 .Logical imputation (derivation)

D T3TOTAMT      8      355
T PR: Plan balance
      PR38_PR380 As of the end of the last month
      of the reference period, what was the
      total amount of money in your account?
      Universe =      All respondents
      age 15 and over who held a job or owned a
      business as of the last day of the
      reference period (RMNJBBS>0), and either
      (whose contributions to primary pension or
      retirement plan are tax-deferred
      (E1TAXDEF = 1), or whose contributions to
      secondary pension or retirement plan are
      tax-deferred (E2TAXDEF = 1), or who
      participates in a tax-deferred retirement
      plan offered by his/her job or business
      (E3PARTIC = 1))
V      0 .Not In Universe
V      1:230000 .Amount in dollars

D A3TOTAMT      1      363
T PR: Allocation flag for T3TOTAMT
      PR38_PR380 Allocation flag for plan
      balance at end of reference period
V      0 .Not imputed
V      1 .Statistical imputation (hotdeck)
V      2 .Cold deck imputation
V      3 .Logical imputation (derivation)

D EPENLOAN      2      364
T PR: Withdrawal of money from plan as loan
      PR40_PR391 Have you ever taken out any
      money from your plan in the form of a

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loan? Universe = All
 respondents age 15 and over who held a
 job or owned a business as of the last day
 of the reference period (RMNJBBS>0), and
 either (whose contributions to primary
 pension or retirement plan are
 tax-deferred (E1TAXDEF = 1), or whose
 contributions to secondary pension or
 retirement plan are tax-deferred
 (E2TAXDEF = 1), or who participates in a
 tax-deferred retirement plan offered by
 his/her job or business (E3PARTIC = 1))

V -1 .Not in Universe
 V 1 .Yes
 V 2 .No

D APENLOAN 1 366

T PR: Allocation flag for EPENLOAN
 PR40_PR391 Allocation flag for
 respondent's withdrawal of money from plan
 in loan

V 0 .Not imputed
 V 1 .Statistical imputation (hotdeck)
 V 2 .Cold deck imputation
 V 3 .Logical imputation (derivation)

D ELETLOAN 2 367

T PR: Does respondent's plan permit loan
 withdrawals
 PR41_PR392 Does your plan permit you to
 take out a loan? Universe =
 All respondents age 15 and over who held a
 job or owned a business as of the last
 day of the reference period (RMNJBBS>0),
 and [either (whose contributions to
 primary pension or retirement plan are
 tax-deferred (E1TAXDEF=1), or whose
 contributions to secondary pension or
 retirement plan are tax-deferred
 (E2TAXDEF = 1), or who participates in a
 tax-deferred retirement plan offered by
 his/her job or business (E3PARTIC=1)),]
 AND who had not ever taken out money from
 their pension or retirement plan in the
 form of a loan (EPENLOAN=2)

V -1 .Not in Universe
 V 1 .Yes
 V 2 .No

D ALETLOAN 1 369

T PR: Allocation flag for ELETLOAN
 PR41_PR392 Allocation flag for whether
 pension or retirement plan permits loan
 withdrawals

V 0 .Not imputed
 V 1 .Statistical imputation (hotdeck)
 V 2 .Cold deck imputation

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V          3 .Logical imputation (derivation)

D TLOANBAL      8      370
T PR: Current balance due on loan
    PR42_PR393 What is the current outstanding
    balance due from that loan? Universe =
        All respondents age 15 and over
    who held a job or owned a business as of
    the last day of the reference period
    (RMNJBBBS>0), and either (whose
    contributions to primary pension or
    retirement plan are tax-deferred (E1TAXDEF
    = 1), or whose contributions to secondary
    pension or retirement plan are
    tax-deferred (E2TAXDEF = 1), or who
    participates in a tax-deferred retirement
    plan offered by his/her job or business
    (E3PARTIC = 1)), and who has taken money
    out of the pension retirement plan in the
    form of a loan (EPENLOAN = 1)
V          0 .Not In Universe
V      1:35000 .Amount in dollars

D ALOANBAL      1      378
T PR: Allocation flag for TLOANBAL
    PR42_PR393 Allocation flag for
    respondent's outstanding balance on loan
    from plan
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D EOTHRPEN      2      379
T PR: Pension plan(s) with second job/business
    PR44_PR400 Are you participating in any
    pension or retirement plans offered on any
    other jobs or businesses you currently
    have? Universe = All
    respondents age 15 and over with more
    than one job or business held on the last
    day of the reference period
V          -1 .Not in Universe
V          1 .Yes
V          2 .No

D AOTHRPEN      1      381
T PR: Allocation flag for EOTHRPEN
    PR44_PR400 Allocation flag for if
    respondent has second plan from second
    job/business
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D EPREVPEN      2      382

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T PR: Pension plan(s) with previous job/business
 PR45_PR410 Other than Social Security or
 the plans we have already talked about,
 have you ever been covered by a pension or
 retirement plan on any previous jobs or
 businesses? Universe = All
 respondents age 25 and over

V -1 .Not in Universe
 V 1 .Yes
 V 2 .No

D APREVPEN 1 384
 T PR: Allocation flag for EPREVPEN
 PR45_PR410 Allocation flag for if
 respondent had plan from previous
 job/business

V 0 .Not imputed
 V 1 .Statistical imputation (hotdeck)
 V 2 .Cold deck imputation
 V 3 .Logical imputation (derivation)

D EPREVEXP 2 385
 T PR: Previous plans with benefits not yet
 received
 PR46_PR420 Are there any previous plans
 from which you have not yet received any
 benefits, but expect to receive them in
 the future? Universe = All
 respondents age 25 and over who have ever
 been covered by a pension or retirement
 plan from a prior job or business
 (EPREVPEN = 1)

V -1 .Not in Universe
 V 1 .Yes
 V 2 .No

D APREVEXP 1 387
 T PR: Allocation flag for EPREVEXP
 PR46_PR420 Allocation flag for plan from
 previous job/business with future benefits

V 0 .Not imputed
 V 1 .Statistical imputation (hotdeck)
 V 2 .Cold deck imputation
 V 3 .Logical imputation (derivation)

D TPREVYRS 2 388
 T PR: Years worked before receiving pension
 PR47_PR430 How many years did you work on
 the job from which you expect to receive
 this pension? Universe =
 All respondents age 25 and over who expect
 to receive pension or retirement benefits
 from a previously held job or business in
 the future (EPREVEXP = 1)

V -1 .Not in Universe
 V 1:33 .Number of Years

D APREVYRS 1 390
T PR: Allocation flag for TPREVYRS
PR47_PR430 Allocation flag for years
worked at previous job/business with
future retirement/pension benefits
V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

D EWHNLEFT 4 391
T PR: Year respondent left previous job/business
PR47A_PR431 In what year did you leave
that job? Universe = All
respondents age 25 and over who expect to
receive pension or retirement benefits
from a previously held job or business in
the future (EPREVEXP = 1)
V -1 .Not in Universe
V 1900:2012 .Year

D AWHNLEFT 1 395
T PR: Allocation flag for EWHNLEFT
PR47A_PR431 Allocation flag for the year
the respondent left his/her previously
held job or business
V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

D EPREVTYP 2 396
T PR: How job's benefits are determined
PR48_PR440 Will the amount of your
retirement benefits from that plan be
determined by a formula such as one based
on your earnings and years of service or
will your benefits be based on the total
amount of money held in an individual
account for you? Universe =
All respondents age 25 and over who expect
to receive pension or retirement benefits
from a previously held job or business in
the future (EPREVEXP = 1)
V -1 .Not in Universe
V 1 .Based on a formula
V 2 .Based on the amount of money in
V .account

D APREVTYP 1 398
T PR: Allocation flag for EPREVTYP
PR48_PR440 Allocation flag for how
previous job/business's future
retirement/pension benefits are determined
V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation

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V          3 .Logical imputation (derivation)

D TPREVAMT      8      399
T PR: Balance in retirement/pension plan
    PR49_PR450 As of the end of (last month of
    the reference period), what was the total
    amount of money in your account? Universe
    =          All respondents age 25 and
    over who expect to receive pension or
    retirement benefits from a previously
    held job or business in the future, and
    whose benefits are based on the total
    amount of money in their pension or
    retirement account (EPREVTYP = 2)
V          0 .Not In Universe
V    1:260000 .Amount in dollars

D APREVAMT      1      407
T PR: Allocation flag for TPREVAMT
    PR49_PR450 Allocation flag for balance in
    previous job/business's retirement/pension
    plan
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D EPREWITH      2      408
T PR: Withdrawal allowed from pension plan
    PR51_PR461 Could you withdraw this money
    now, or will you have to wait until
    retirement age to get the money? Universe
    =          All respondents age 25 and
    over who expect to receive pension or
    retirement benefits from a previously
    held job or business in the future, and
    whose benefits are based on the total
    amount of money in their pension or
    retirement account (EPREVTYP = 2)
V          -1 .Not in Universe
V          1 .Could withdraw money now
V          2 .Must wait until retirement

D APREWITH      1      410
T PR: Allocation flag for EPREWITH
    PR51_PR461 Allocation flag for withdrawal
    allowed from previous job/business's
    retirement/pension plan (yes/no)
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D EPREVLMP      2      411
T PR: Reciprocity of lump-sum from a plan
    PR52_PR470 Have you ever received a
    lump-sum payment from a pension or

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retirement plan from a previous job,
including any lump-sums that may have been
directly rolled over to another plan or to
an IRA? Universe = 1. All
respondents between the ages of 21 and 24
inclusive who did not receive a lump-sum
payment in the reference period EGICODE ne
39 OR 2. All respondents 25 and over who
are covered by a pension or retirement
plan from a prior job or business
(EPREVPEN = 1), AND whose expect to
receive pension or retirement benefits
from a previously held job or business in
the future (EPREVEXP = 1), AND whose
benefits are based on a formula (EPREVTYP
= 1) OR 3. All respondents age 21 and who
EITHER said in the core they rolled money
over into retirement plan (EROLOVR1 = 1),
OR who did not roll money over any into a
retirement plan (EROLOVR1 = 2)) OR 4. All
respondents age 25 and over who were
covered by a plan from a previous job
(EPREVPEN = 1) AND did not report pension
lump sum earlier EGICODE ne 39 (TAGE
between 21-24 and EGICODE ne 39) or (TAGE
ge 25 and EPREVPEN = 1 and EPREVEXP = 1
and EPREVTYP = 1) or (TAGE ge 25 and
(EROLOVR1 = 1 or EROLOVR1 = 2)) or (TAGE
ge 25 and EPREVPEN = 1 and EGICODE ne 39)

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D APREVLMP 1 413

T PR: Allocation flag for EPREVLMP
PR52_PR470 Allocation flag to find out if
the respondent had ever received a
lump-sum payment from a pension or
retirement plan from a previous job

V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

D EWHYLEFT 2 414

T PR: Reason for leaving previous job or
business

PR52A_PR471 Why did you leave that job?
Universe = All respondents
21 and over who received a lump-sum
payment from a pension plan from a
previous job or business (TAGE ge 21 AND
EPREVLMP = 1)

V -1 .Not in Universe
V 1 .Laid Off
V 2 .Retired or old age
V 3 .Child care problems

V 4 .Other family obligations
V 5 .Own illness
V 6 .Own injury
V 7 .School/Training
V 8 .Discharged/fired
V 9 .Employer bankrupt
V 10 .Employer sold business
V 11 .Job temporary and ended
V 12 .Quit to take another job
V 13 .Slack work/business conditions
V 14 .Unsatisfactory work arrangements

D AWHYLEFT 1 416

T PR: Allocation flag for EWHYLEFT

PR52A_PR471 Allocation flag for why the
respondent left his/her previous job

V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

D ESURVLMP 2 417

T PR: Reciprocity of lump-sum survivor benefits

PR53_PR480 Have you ever received survivor
benefits in the form of a lump-sum payment
from someone else's pension or retirement
plan? Universe = All
respondents 25 and over who were not
covered by a pension or retirement plan
from a previous job or business, or all
respondent 21 and over who have not
received any lump-sum payment from a
pension plan from a previous job or
business (TAGE ge 25 AND EPREVPEN = 2) OR
(TAGE ge 21 AND EPREVLMP = 2)

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D ASURVLMP 1 419

T PR: Allocation flag for ESURVLMP

PR53_PR480 Allocation flag for reciprocity
of lump-sum survivor benefits from someone
else's pension or retirement plan

V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

D ELUMPNUM 2 420

T PR: Number of lump-sum distributions received

PR54_PR490 Over the years, how many of
these lump-sum distributions, including
rollovers, have you received? Universe =
All respondents 21 and over
who either have ever received a lump-sum
payment from a pension plan from a

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previous job or business or who have ever
received any lump-sum payments as a
survivor's benefits from someone else's
pension or retirement plan TAGE ge 21 AND
(EPREVLMP = 1 OR ESURVLMP = 1)
V      -1 .Not in Universe
V      1:99 .Number of lump sums

D ALUMPNUM      1      422
T PR: Allocation flag for ELUMPNUM
      PR54_PR490 Allocation flag for number of
      lump-sum distributions received
V      0 .Not imputed
V      1 .Statistical imputation (hotdeck)
V      2 .Cold deck imputation
V      3 .Logical imputation (derivation)

D ELMPYEAR      4      423
T PR: Year latest lump-sum or rollover was
      received
      PR55_PR500 Please answer the following
      questions about your most recent lump-sum
      or rollover. In what year did you receive
      this lump-sum or rollover? Universe =
      All respondents 21 and over who
      either have ever received a lump-sum
      payment from a pension plan from a
      previous job or business or who have ever
      received any lump-sum payments as a
      survivor's benefits from someone else's
      pension or retirement plan TAGE ge 21 AND
      (EPREVLMP = 1 OR ESURVLMP = 1)
V      -1 .Not in Universe
V      1900:2012 .Year

D ALMPYEAR      1      427
T PR: Allocation flag for ELMPYEAR
      PR55_PR500 Allocation flag for the year
      the latest lump-sum or rollover was
      received
V      0 .Not imputed
V      1 .Statistical imputation (hotdeck)
V      2 .Cold deck imputation
V      3 .Logical imputation (derivation)

D ELUMPNUM97     2      428
T PR: Lump-sum payments for 2011
      PR56_PR510 Did you also receive any
      lump-sum payments in 2011? Universe =
      All respondents 21 and over who
      had previously received more than one
      lump-sum payment and who received a
      lump-sum payment in 2012 TAGE ge 21 AND
      (ELUMPNUM gt 1 AND ELMPYEAR = 2012)
V      -1 .Not in Universe
V      1 .Yes
V      2 .No

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D ALUMPN97      1      430
T PR: Allocation flag for ELUMPN97
    PR56_PR510 Allocation flag for 2011
    lump-sum payment reciprocity
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D ELUMPSRC      2      431
T PR: Source of lump-sum payment
    PR57_PR520 Was the lump-sum from a private
    employer or union plan, from the military,
    from other Federal employee plans, or from
    a State or local government plan?
    Universe =          All respondents
    21 and over who either have ever received
    a lump-sum payment from a pension plan
    from a previous job or business or who
    have ever received any lump-sum payments
    as a survivor's benefits from someone
    else's pension or retirement plan TAGE ge
    21 AND (EPREVLMP = 1 OR ESURVLMP = 1)
V          -1 .Not in Universe
V          1 .Private employer or union plan
V          2 .Military plan
V          3 .Other federal plans
V          4 .State or local government
V          5 .Other

D ALUMPSRC      1      433
T PR: Allocation flag for ELUMPSRC
    PR57_PR520 Allocation flag for type of
    plan providing lump-sum payment
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D ELUMPHOW      2      434
T PR: Type of Lump-sum payment withdrawal
    PR58_PR521 Did you withdraw the money
    voluntarily, or did the plan require you
    to withdraw it? Universe =
    All respondents 21 and over who either
    have ever received a lump-sum payment
    from a pension plan from a previous job
    or business or who have ever received any
    lump-sum payments as a survivor's
    benefits from someone else's pension or
    retirement plan TAGE ge 21 AND (EPREVLMP
    = 1 OR ESURVLMP = 1)
V          -1 .Not in Universe
V          1 .Voluntarily
V          2 .Required to withdraw

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D ALUMPHOW 1 436
T PR: Allocation flag for ELUMPHOW
PR58_PR521 Allocation flag for whether the
lump-sum payment was a voluntary withdrawal
V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

D TLUMPTOT 8 437
T PR: Total amount of lump-sum payment
PR59_PR530 What was the total amount of
the lump-sum or rollover? Universe =
All respondents 21 and over who
either have ever received a lump-sum
payment from a pension plan from a
previous job or business or who have ever
received any lump-sum payments as a
survivor's benefits from someone else's
pension or retirement plan TAGE ge 21 AND
(EPREVLMP = 1 OR ESURVLMP = 1)
V 0 .Not In Universe
V 1:37500 .Amount in dollars

D ALUMPTOT 1 445
T PR: Allocation flag for TLUMPTOT
PR59_PR530 Allocation flag for total
amount of lump-sum payment
V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

D ELUMPREC 2 446
T PR: Lump-sum payment retained or rolled over
PR61_PR550 Did you actually receive the
money, or was it directly rolled over into
another plan or to an IRA? Universe =
All respondents 21 and over who
either have ever received a lump-sum
payment from a pension plan from a
previous job or business or who have ever
received any lump-sum payments as a
survivor's benefits from someone else's
pension or retirement plan TAGE ge 21 AND
(EPREVLMP = 1 OR ESURVLMP = 1)
V -1 .Not in Universe
V 1 .Actually received
V 2 .Directly rolled over

D ALUMPREC 1 448
T PR: Allocation flag for ELUMPREC
PR61_PR550 Allocation flag for whether
lump-sum payment was retained or rolled
over
V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)

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V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D ELMPROLL    2      449
T PR: Lump-sum payment retained or rolled over
      PR62_PR560 After receiving the lump-sum
      payment, did you then roll any of the
      money over into another retirement plan or
      into an IRA? Universe = All
      respondents 21 and over who actually
      received money for a lump-sum payment and
      did not roll it over directly (TAGE ge 21
      AND ELUMPREC = 1)
V          -1 .Not in Universe
V           1 .Yes
V           2 .No

D ALMPROLL    1      451
T PR: Allocation flag for ELMPROLL
      PR62_PR560 Allocation flag for whether the
      lump-sum payment was retained or rolled
      over
V           0 .Not imputed
V           1 .Statistical imputation (hotdeck)
V           2 .Cold deck imputation
V           3 .Logical imputation (derivation)

D ELMPWHER    2      452
T PR: Type of plan used for rollover
      PR63_PR570 Did you roll it over into
      another plan on your job, an individual
      annuity, an IRA, or some other type of
      plan? Universe = All
      respondents 21 and over who either whose
      lump-sum money was directly rolled over
      into another retirement plan or IRA, or
      who after receiving the lump-sum payment,
      rolled the money over into another
      retirement plan or IRA TAGE ge 21 AND
      (ELUMPREC = 2 OR ELMPROLL = 1)
V          -1 .Not in Universe
V           1 .Plan on job
V           2 .Individual annuity
V           3 .IRA
V           4 .OTHER

D ALMPWHER    1      454
T PR: Allocation flag for ELMPWHER
      PR63_PR570 Allocation flag for type of
      plan used for rollover
V           0 .Not imputed
V           1 .Statistical imputation (hotdeck)
V           2 .Cold deck imputation
V           3 .Logical imputation (derivation)

D ELUMPENT    2      455
T PR: Rollover of all or part of lump-sum

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payment

PR64_PR571 Did you roll over the entire amount or just part of it? Universe =
All respondents 21 and over who either whose lump-sum money was directly rolled over into another retirement plan or IRA, or who after receiving the lump-sum payment, rolled the money over into another retirement plan or IRA
TAGE ge 21 AND (ELUMPREC = 2 OR ELMPROLL = 1)

V -1 .Not in Universe
V 1 .Entire amount
V 2 .Partial amount

D ALUMPENT 1 457

T PR: Allocation flag for ELUMPENT

PR64_PR571 Allocation flag for the rollover of all or part of the lump-sum payment

V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

D ELMPSP01 2 458

T PR: Use of lump-sum payment

PR65_1PR580 People who receive lump sums may spend or invest the money in many different ways. How did you use the money from the lump sum you received? Invested in an IRA, annuity, or other retirement program Universe =
All respondents age 21 and over who either (1) didn't roll over any of the lump-sum money received into another retirement plan or IRA (ELMPROLL = 2) or just rolled over a partial amount (ELUMPENT = 2)), OR (2) who received a lump-sum payment from a pension plan during the reference period (EGICODE = 39), AND who did not roll over any money into an IRA or other type of retirement plan (EROLOVR1 = 2).
TAGE ge 21 AND ((ELUMPENT = 2 OR ELMPROLL = 2) OR (EGICODE = 39 AND EROLOVR1 = 2))

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D ELMPSP02 2 460

T PR: Use of lump-sum payment

PR65_2PR580 People who receive lump sums may spend or invest the money in many different ways. How did you use the money from the lump sum you received? Put it into a savings account or CDs Universe =
All respondents age 21 and over who either (1) didn't roll over any

of the lump-sum money received into another retirement plan or IRA (ELMPROLL = 2) or just rolled over a partial amount (ELUMPENT = 2)), OR (2) who received a lump-sum payment from a pension plan during the reference period (EGICODE = 39), AND who did not roll over any money into an IRA or other type of retirement plan (EROLOVR1 = 2). TAGE ge 21 AND ((ELUMPENT = 2 OR ELMPROLL = 2) OR (EGICODE = 39 AND EROLOVR1 = 2))

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D ELMPSPO3 2 462

T PR: Use of lump-sum payment

PR65_3PR580 People who receive lump sums may spend or invest the money in many different ways. How did you use the money from the lump sum you received? Invested in other financial instruments (stocks, mutual funds, bonds, money market funds) Universe = All respondents age 21 and over who either (1) didn't roll over any of the lump-sum money received into another retirement plan or IRA (ELMPROLL = 2) or just rolled over a partial amount (ELUMPENT = 2)), OR (2) who received a lump-sum payment from a pension plan during the reference period (EGICODE = 39), AND who did not roll over any money into an IRA or other type of retirement plan (EROLOVR1 = 2). TAGE ge 21 AND ((ELUMPENT = 2 OR ELMPROLL = 2) OR (EGICODE = 39 AND EROLOVR1 = 2))

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D ELMPSPO4 2 464

T PR: Use of lump-sum payment

PR65_4PR580 People who receive lump sums may spend or invest the money in many different ways. How did you use the money from the lump sum you received? Invested in land, other real properties Universe = All respondents age 21 and over who either (1) didn't roll over any of the lump-sum money received into another retirement plan or IRA (ELMPROLL = 2) or just rolled over a partial amount (ELUMPENT = 2)), OR (2) who received a lump-sum payment from a pension plan during the reference period (EGICODE = 39), AND who did not roll over any money into an IRA or other type of retirement


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plan (EROLOVR1 = 2). TAGE ge 21 AND
((ELUMPENT = 2 OR ELMPROLL = 2) OR
(EGICODE = 39 AND EROLOVR1 = 2))
V      -1 .Not in Universe
V      1 .Yes
V      2 .No

D ELMPSP05      2      466
T PR: Use of lump-sum payment
PR65_5PR580 People who receive lump sums
may spend or invest the money in many
different ways. How did you use the money
from the lump sum you received? Invested
in own or family business or farm
Universe = All respondents
age 21 and over who either (1) didn't
roll over any of the lump-sum money
received into another retirement plan or
IRA (ELMPROLL = 2) or just rolled over a
partial amount (ELUMPENT = 2)), OR (2)
who received a lump-sum payment from a
pension plan during the reference period
(EGICODE = 39), AND who did not roll over
any money into an IRA or other type of
retirement plan (EROLOVR1 = 2). TAGE ge
21 AND ((ELUMPENT = 2 OR ELMPROLL = 2) OR
(EGICODE = 39 AND EROLOVR1 = 2))
V      -1 .Not in Universe
V      1 .Yes
V      2 .No

D ELMPSP06      2      468
T PR: Use of lump-sum payment
PR65_6PR580 People who receive lump sums
may spend or invest the money in many
different ways. How did you use the money
from the lump sum you received? Used for
housing (purchase, paid off mortgage, home
improvements/repairs) Universe =
All respondents age 21 and over who
either (1) didn't roll over any of the
lump-sum money received into another
retirement plan or IRA (ELMPROLL = 2) or
just rolled over a partial amount
(ELUMPENT = 2)), OR (2) who received a
lump-sum payment from a pension plan
during the reference period (EGICODE =
39), AND who did not roll over any money
into an IRA or other type of retirement
plan (EROLOVR1 = 2). TAGE ge 21 AND
((ELUMPENT = 2 OR ELMPROLL = 2) OR
(EGICODE = 39 AND EROLOVR1 = 2))
V      -1 .Not in Universe
V      1 .Yes
V      2 .No

D ELMPSP07      2      470

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T PR: Use of lump-sum payment
 PR65_7PR580 People who receive lump sums may spend or invest the money in many different ways. How did you use the money from the lump sum you received? Paid bills, loans, or other debts Universe =
 All respondents age 21 and over who either (1) didn't roll over any of the lump-sum money received into another retirement plan or IRA (ELMPROLL = 2) or just rolled over a partial amount (ELUMPENT = 2)), OR (2) who received a lump-sum payment from a pension plan during the reference period (EGICODE = 39), AND who did not roll over any money into an IRA or other type of retirement plan (EROLOVR1 = 2). TAGE ge 21 AND ((ELUMPENT = 2 OR ELMPROLL = 2) OR (EGICODE = 39 AND EROLOVR1 = 2))

V -1 .Not in Universe
 V 1 .Yes
 V 2 .No

D ELMSP08 2 472

T PR: Use of lump-sum payment
 PR65_8PR580 People who receive lump sums may spend or invest the money in many different ways. How did you use the money from the lump sum you received? Bought a car, boat, furniture, or other consumer items Universe =
 All respondents age 21 and over who either (1) didn't roll over any of the lump-sum money received into another retirement plan or IRA (ELMPROLL = 2) or just rolled over a partial amount (ELUMPENT = 2)), OR (2) who received a lump-sum payment from a pension plan during the reference period (EGICODE = 39), AND who did not roll over any money into an IRA or other type of retirement plan (EROLOVR1 = 2). TAGE ge 21 AND ((ELUMPENT = 2 OR ELMPROLL = 2) OR (EGICODE = 39 AND EROLOVR1 = 2))

V -1 .Not in Universe
 V 1 .Yes
 V 2 .No

D ELMSP09 2 474

T PR: Use of lump-sum payment
 PR65_9PR580 People who receive lump sums may spend or invest the money in many different ways. How did you use the money from the lump sum you received? Vacation, travel, or recreation Universe =
 All respondents age 21 and over who either (1) didn't roll over any of the lump-sum money received into another

retirement plan or IRA (ELMPROLL = 2) or just rolled over a partial amount (ELUMPENT = 2)), OR (2) who received a lump-sum payment from a pension plan during the reference period (EGICODE = 39), AND who did not roll over any money into an IRA or other type of retirement plan (EROLOVR1 = 2). TAGE ge 21 AND ((ELUMPENT = 2 OR ELMPROLL = 2) OR (EGICODE = 39 AND EROLOVR1 = 2))

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D ELMPSP10 2 476

T PR: Use of lump-sum payment

PR65_10PR580 People who receive lump sums may spend or invest the money in many different ways. How did you use the money from the lump sum you received? Paid expenses while laid off Universe =

All respondents age 21 and over who either (1) didn't roll over any of the lump-sum money received into another retirement plan or IRA (ELMPROLL = 2) or just rolled over a partial amount (ELUMPENT = 2)), OR (2) who received a lump-sum payment from a pension plan during the reference period (EGICODE = 39), AND who did not roll over any money into an IRA or other type of retirement plan (EROLOVR1 = 2). TAGE ge 21 AND ((ELUMPENT = 2 OR ELMPROLL = 2) OR (EGICODE = 39 AND EROLOVR1 = 2))

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D ELMPSP11 2 478

T PR: Use of lump-sum payment

PR65_11PR580 People who receive lump sums may spend or invest the money in many different ways. How did you use the money from the lump sum you received? Moving or relocation expenses Universe =

All respondents age 21 and over who either (1) didn't roll over any of the lump-sum money received into another retirement plan or IRA (ELMPROLL = 2) or just rolled over a partial amount (ELUMPENT = 2)), OR (2) who received a lump-sum payment from a pension plan during the reference period (EGICODE = 39), AND who did not roll over any money into an IRA or other type of retirement plan (EROLOVR1 = 2). TAGE ge 21 AND ((ELUMPENT = 2 OR ELMPROLL = 2) OR

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      (EGICODE = 39 AND EROLOVR1 = 2))
V      -1 .Not in Universe
V      1 .Yes
V      2 .No

D ELMPSPI2      2      480
T PR: Use of lump-sum payment
      PR65_12PR580 People who receive lump sums
      may spend or invest the money in many
      different ways. How did you use the money
      from the lump sum you received? Medical or
      dental expenses Universe =
      All respondents age 21 and over who either
      (1) didn't roll over any of the lump-sum
      money received into another retirement
      plan or IRA (ELMPROLL = 2) or just rolled
      over a partial amount (ELUMPENT = 2)), OR
      (2) who received a lump-sum payment from
      a pension plan during the reference
      period (EGICODE = 39), AND who did not
      roll over any money into an IRA or other
      type of retirement plan (EROLOVR1 = 2).
      TAGE ge 21 AND ((ELUMPENT = 2 OR ELMPROLL
      = 2) OR (EGICODE = 39 AND EROLOVR1 = 2))
V      -1 .Not in Universe
V      1 .Yes
V      2 .No

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D ELMPSPI3      2      482
T PR: Use of lump-sum payment
      PR65_13PR580 People who receive lump sums
      may spend or invest the money in many
      different ways. How did you use the money
      from the lump sum you received? Paid or
      saved for education Universe =
      All respondents age 21 and over who
      either (1) didn't roll over any of the
      lump-sum money received into another
      retirement plan or IRA (ELMPROLL = 2) or
      just rolled over a partial amount
      (ELUMPENT = 2)), OR (2) who received a
      lump-sum payment from a pension plan
      during the reference period (EGICODE =
      39), AND who did not roll over any money
      into an IRA or other type of retirement
      plan (EROLOVR1 = 2). TAGE ge 21 AND
      ((ELUMPENT = 2 OR ELMPROLL = 2) OR
      (EGICODE = 39 AND EROLOVR1 = 2))
V      -1 .Not in Universe
V      1 .Yes
V      2 .No

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D ELMPSPI4      2      484
T PR: Use of lump-sum payment
      PR65_14PR580 People who receive lump sums
      may spend or invest the money in many
      different ways. How did you use the money

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from the lump sum you received? General or
everyday expenses Universe =

All respondents age 21 and over who
either (1) didn't roll over any of the
lump-sum money received into another
retirement plan or IRA (ELMPROLL = 2) or
just rolled over a partial amount
(ELUMPENT = 2)), OR (2) who received a
lump-sum payment from a pension plan
during the reference period (EGICODE =
39), AND who did not roll over any money
into an IRA or other type of retirement
plan (EROLOVR1 = 2). TAGE ge 21 AND
((ELUMPENT = 2 OR ELMPROLL = 2) OR
(EGICODE = 39 AND EROLOVR1 = 2))

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D ELMPSP15 2 486

T PR: Use of lump-sum payment

PR65_15PR580 People who receive lump sums
may spend or invest the money in many
different ways. How did you use the money
from the lump sum you received? Gave to
family members or charities Universe =

All respondents age 21 and over
who either (1) didn't roll over any of
the lump-sum money received into another
retirement plan or IRA (ELMPROLL = 2) or
just rolled over a partial amount
(ELUMPENT = 2)), OR (2) who received a
lump-sum payment from a pension plan
during the reference period (EGICODE =
39), AND who did not roll over any money
into an IRA or other type of retirement
plan (EROLOVR1 = 2). TAGE ge 21 AND
((ELUMPENT = 2 OR ELMPROLL = 2) OR
(EGICODE = 39 AND EROLOVR1 = 2))

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D ELMPSP16 2 488

T PR: Use of lump-sum payment

PR65_16PR580 People who receive lump sums
may spend or invest the money in many
different ways. How did you use the money
from the lump sum you received? Paid taxes

Universe = All respondents
age 21 and over who either (1) didn't
roll over any of the lump-sum money
received into another retirement plan or
IRA (ELMPROLL = 2) or just rolled over a
partial amount (ELUMPENT = 2)), OR (2)
who received a lump-sum payment from a
pension plan during the reference period

(EGICODE = 39), AND who did not roll over any money into an IRA or other type of retirement plan (EROLOVR1 = 2). TAGE ge 21 AND ((ELUMPENT = 2 OR ELMPROLL = 2) OR (EGICODE = 39 AND EROLOVR1 = 2))

V -1 .Not in Universe

V 1 .Yes

V 2 .No

D ELMPS17 2 490

T PR: Use of lump-sum payment

PR65_17PR580 People who receive lump sums may spend or invest the money in many different ways. How did you use the money from the lump sum you received? Saved for retirement expenses Universe =

All respondents age 21 and over who either (1) didn't roll over any of the lump-sum money received into another retirement plan or IRA (ELMPROLL = 2) or just rolled over a partial amount (ELUMPENT = 2)), OR (2) who received a lump-sum payment from a pension plan during the reference period (EGICODE = 39), AND who did not roll over any money into an IRA or other type of retirement plan (EROLOVR1 = 2). TAGE ge 21 AND ((ELUMPENT = 2 OR ELMPROLL = 2) OR (EGICODE = 39 AND EROLOVR1 = 2))

V -1 .Not in Universe

V 1 .Yes

V 2 .No

D ELMPS18 2 492

T PR: Use of lump-sum payment

PR65_18PR580 People who receive lump sums may spend or invest the money in many different ways. How did you use the money from the lump sum you received? Saved or invested in other ways Universe =

All respondents age 21 and over who either (1) didn't roll over any of the lump-sum money received into another retirement plan or IRA (ELMPROLL = 2) or just rolled over a partial amount (ELUMPENT = 2)), OR (2) who received a lump-sum payment from a pension plan during the reference period (EGICODE = 39), AND who did not roll over any money into an IRA or other type of retirement plan (EROLOVR1 = 2). TAGE ge 21 AND ((ELUMPENT = 2 OR ELMPROLL = 2) OR (EGICODE = 39 AND EROLOVR1 = 2))

V -1 .Not in Universe

V 1 .Yes

V 2 .No

D ELMSP19 2 494

T PR: Use of lump-sum payment

PR65_19PR580 People who receive lump sums may spend or invest the money in many different ways. How did you use the money from the lump sum you received? Spent in other ways Universe = All respondents age 21 and over who either (1) didn't roll over any of the lump-sum money received into another retirement plan or IRA (ELMPROLL = 2) or just rolled over a partial amount (ELUMPENT = 2)), OR (2) who received a lump-sum payment from a pension plan during the reference period (EGICODE = 39), AND who did not roll over any money into an IRA or other type of retirement plan (EROLOVR1 = 2).
TAGE ge 21 AND ((ELUMPENT = 2 OR ELMPROLL = 2) OR (EGICODE = 39 AND EROLOVR1 = 2))

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D ALMPSP 1 496

T PR: Allocation flag for ELMSP01-ELMPSP19

PR65_PR580 Allocation flag for use of lump-sum payment

V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

D EPENLNG1 2 497

T PR: For the rest of life payments

PR66_1PR600 Earlier you said you received some pension or retirement income other than Social Security during the period from (first month of reference period). Will you continue to receive these benefits for the rest of your life, or will it be just a limited number of payments, or was it just a single lump sum payment? Rest of life Universe = All respondents age 15 and over who received any pension income in Core (EGICODE = 30 or 31 or 32 or 33 or 34 or 35 or 38)

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D EPENLNG2 2 499

T PR: Limited number of payments

PR66_2PR600 Earlier you said you received some pension or retirement income other than Social Security during the period from (first month of reference period).

Will you continue to receive these
benefits for the rest of your life, or
will it be just a limited number of
payments, or was it just a single lump sum
payment? Limited number of payments
Universe = All respondents
age 15 and over who received any pension
income in Core (EGICODE = 30 or 31 or 32
or 33 or 34 or 35 or 38)

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D EPENGNG3 2 501

T PR: Lump sum payments

PR66_3PR600 Earlier you said you received
some pension or retirement income other
than Social Security during the period
from (first month of reference period).
Will you continue to receive these
benefits for the rest of your life, or
will it be just a limited number of
payments, or was it just a single lump sum
payment? Lump-sum payment Universe =
All respondents age 15 and over
who received any pension income in Core
(EGICODE = 30 or 31 or 32 or 33 or 34 or
35 or 38)

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D APENLGTH 1 503

T PR: Allocation flag for EPENLNG1-EPENLNG2 and
EPENGNG3

PR66_PR600 Allocation flag for payments
received for the rest of respondent's
life, for limited number of payments and
for lump sum payments

V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

D EPENNUMB 2 504

T PR: Income received from more than one plan

PR67_PR610 Did you receive this income
from more than one pension plan? Universe
= All respondents age 15 and
over who received any pension income in
Core (EGICODE = 30 or 31 or 32 or 33 or
34 or 35 or 38) and who will receive the
pension for the rest of his/her life
(EPENLNG1 =1)

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D APENNUMB 1 506
 T PR: Allocation flag for EPENNUMB
 PR67_PR610 Allocation flag for retirement
 income received from more than one pension
 plan
 V 0 .Not imputed
 V 1 .Statistical imputation (hotdeck)
 V 2 .Cold deck imputation
 V 3 .Logical imputation (derivation)

D EPENNUMS 2 507
 T PR: Number of plans producing income
 PR68_PR620 How many different plans did
 you receive this income from? Universe =
 All respondents age 15 and
 over who received any pension income in
 Core (EGICODE = 30 or 31 or 32 or 33 or
 34 or 35 or 38), and who will receive the
 pension for the rest of his/her life, and
 who receives income from more than one
 pension plan (EPENNUMB = 1)
 V -1 .Not in Universe
 V 2:99 .Number of plans

D APENNUMS 1 509
 T PR: Allocation flag for EPENNUMS
 PR68_PR620 Allocation flag for number of
 pension plans producing retirement income
 V 0 .Not imputed
 V 1 .Statistical imputation (hotdeck)
 V 2 .Cold deck imputation
 V 3 .Logical imputation (derivation)

D EPENSRCE 2 510
 T PR: Pension from own or former spouse's
 employment
 PR69_PR640 The following questions refer
 to the previously referred pension or
 retirement plan. Does this pension benefit
 come from a job or business that you held
 in the past, or does it come from a job or
 business held by your former spouse?
 Universe = All respondents
 age 15 and over who received any pension
 income in Core (EGICODE = 30 or 31 or 32
 or 33 or 34 or 35 or 38)
 V -1 .Not in Universe
 V 1 .Respondent's job
 V 2 .Respondent's former spouse's job
 V 3 .Other

D APENSRCE 1 512
 T PR: Allocation flag for EPENSRCE
 PR69_PR640 Allocation flag if pension plan
 is from own or former spouse's employment
 V 0 .Not imputed

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V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D EPENWHEN      4      513
T PR: Year when receipts from pension began
    PR70_PR650 In what year did you begin
    receiving this pension? Universe =
        All respondents age 15 and over who
    received any pension income in Core
    (EGICODE = 30 or 31 or 32 or 33 or 34 or
    35 or 38), and the pension is for the
    rest of the respondent's life (EPENLNG1 =
    1), and it comes from his/her job or
    business (EPENSRCE = 1)
V          -1 .Not in Universe
V 1900:2012 .Year of receipt

D APENWHEN      1      517
T PR: Allocation flag for EPENWHEN
    PR70_PR650 Allocation flag for the year
    the respondent began receiving the pension
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D EPENBASE      2      518
T PR: Calculation method of pension amount
    PR71_PR660 Was the amount of this pension
    payment based on years of service and pay,
    or on the amount of money held in an
    individual account for you? Universe =
        All respondents age 15 and over
    who received any pension income in Core
    (EGICODE = 30 or 31 or 32 or 33 or 34 or
    35 or 38) and the pension is for the rest
    of the respondent's life (EPENLNG1 = 1),
    and it comes from his/her job or business
    (EPENSRCE = 1)
V          -1 .Not in Universe
V          1 .Years of service and pay
V          2 .Amount in individual account

D APENBASE      1      520
T PR: Allocation flag for EPENBASE
    PR71_PR660 Allocation flag for calculation
    method of pension amount
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D EPENSURV      2      521
T PR: Reduced benefits for survivor's option
    PR72_PR670 Were reduced benefits taken in
    order to elect a survivor's option?

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Universe =          All respondents
age 15 and over who received any pension
income in Core (EGICODE = 30 or 31 or 32
or 33 or 34 or 35 or 38), and the pension
is for the rest of the respondent's life
(EPENLNG1 = 1), and it comes from his/her
job or business (EPENSRCE = 1)
V          -1 .Not in Universe
V          1 .Yes
V          2 .No
V          3 .No survivor's option offered

D APENSURV      1      523
T PR: Allocation flag for EPENSURV
      PR72_PR670 Allocation flag for reduced
      benefits for survivor's option (yes/no)
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D EPENINCR      2      524
T PR: Has pension amount ever increased
      PR73_PR680 Has the amount of your pension
      ever increased for any reason? Universe =
      All respondents age 15 and
      over who received any pension income in
      Core (EGICODE = 30 or 31 or 32 or 33 or
      34 or 35 or 38), and the pension is for
      the rest of the respondent's life
      (EPENLNG1 = 1), and it comes from his/her
      job or business (EPENSRCE = 1)
V          -1 .Not in Universe
V          1 .Yes
V          2 .No

D APENINCR      1      526
T PR: Allocation flag for EPENINCR
      PR73_PR680 Allocation flag for if pension
      amount had ever increased
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D EPENCOLA      2      527
T PR: Cost-of-living adjustments
      PR74_PR690 Does your pension plan provide
      for automatic cost-of-living adjustments
      known as COLA's? Universe =
      All respondents age 15 and over who
      received any pension income in Core
      (EGICODE = 30 or 31 or 32 or 33 or 34 or
      35 or 38), and the pension is for the
      rest of the respondent's life (EPENLNG1 =
      1), and it comes from the respondent's
      job or business (EPENSRCE = 1), AND the

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    respondent's pension has ever increased
    (EPENINCR = 1)
V      -1 .Not in Universe
V      1 .Yes
V      2 .No

D APENCOLA    1    529
T PR: Allocation flag for EPENCOLA
    PR74_PR690 Allocation flag for if pension
    provides cost-of-living increases
V      0 .Not imputed
V      1 .Statistical imputation (hotdeck)
V      2 .Cold deck imputation
V      3 .Logical imputation (derivation)

D EPENDECR    2    530
T PR: Increment in pension payment
    PR75_PR700 Did the amount of your pension
    payment ever decrease for any reason?
    Universe = All respondents
    age 15 and over who received any pension
    income in Core (EGICODE = 30 or 31 or 32
    or 33 or 34 or 35 or 38), and who will
    receive the pension for the rest of
    his/her life (EPENLNG1 =1), and whose
    pension comes from his/her job or business
    (EPENSRCE = 1)
V      -1 .Not in Universe
V      1 .Yes
V      2 .No

D APENDECR    1    532
T PR: Allocation flag for EPENDECR
    PR75_PR700 Allocation flag for if pension
    payment ever decreased
V      0 .Not imputed
V      1 .Statistical imputation (hotdeck)
V      2 .Cold deck imputation
V      3 .Logical imputation (derivation)

D TPENSAMT    8    533
T PR: Recode for current monthly pension amount
    PR77_PR720 How much do you currently
    receive EACH MONTH from this plan?
    Universe = All respondents
    age 15 and over who received any pension
    income in Core (EGICODE = 30 or 31 or 32
    or 33 or 34 or 35 or 38), and who will
    receive the pension for the rest of
    his/her life (EPENLNG1 = 1), AND whose
    pension comes from his/her job or business
    (EPENSRCE = 1)
V      0 .Not In Universe
V      1:5400 .Amount in dollars

D APENSAMT    1    541
T PR: Allocation flag for TPENSAMT

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PR77_PR720 Allocation flag for the recode
which asks for the current monthly pension
payment amount.
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D TPENAMT1    9    542
T PR: Initial monthly pension payment amount
PR76_PR710 How much did you receive from
this plan each month when you first began
receiving the pension payment? Universe =
All respondents age 15 and
over who received any pension income in
Core (EGICODE = 30 or 31 or 32 or 33 or
34 or 35 or 38), and it is for the rest
of his/her life (EPENLNG1 = 1), and the
pension comes from his/her job or
business (EPENSRCE = 1), AND his/her
pension payment has ever increased
(EPENINCR = 1) or ever decreased
(EPENDECR = 1)
V          0 .Not In Universe
V          1:12000 .Amount in dollars

D APENAMT1    1    551
T PR: Allocation flag for TPENAMT1
PR76_PR710 Allocation flag for the initial
monthly pension payment amount
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D ELMPSRCE    2    552
T PR: Source of most recent lump-sum payment
PR78_PR730 Now I have some questions about
your most recent lump-sum payment. Did
this payment come from a job or business
you held in the past, or did it come from
a job or business held by your former
spouse? Universe = All
respondents age 55 and over (TAGE>54),
who did not receive any pension income in
Core (EGICODE ne 30, and ne 31, and ne 32
and ne 33, and ne 34, and ne 35, and ne
38), AND either who received a lump-sum
payment in the past (EPREVLMP = 1) or
received a lump-sum payment in the
reference period (EGICODE = 39)
V          -1 .Not in Universe
V          1 .Respondent's former job
V          2 .Respondent's former spouse's job
V          3 .Other

D ALMPSRCE    1    554

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T PR: Allocation flag for ELMPSRCE
 PR78_PR730 Allocation flag for source of
 most recent lump-sum payment

V 0 .Not imputed
 V 1 .Statistical imputation (hotdeck)
 V 2 .Cold deck imputation
 V 3 .Logical imputation (derivation)

D EJOBRETI 2 555
 T PR: Retired from a job or business
 PR79_PR740 Have you ever retired from a
 job or business? Universe =
 All respondents age 55 and over (TAGE>54)
 who did not receive any pension income in
 the reference period (EGICODE ne 30, and
 ne 31, and ne 32, and ne 33, and ne 34,
 and ne 35, and ne 38), AND who did not
 receive a lump-sum payment in the past
 (EPREVLMP ne 1), OR all respondents age
 55 and over (TAGE>54) who did not receive
 any pension income in the reference
 period (EGICODE ne 30, and ne 31, and ne
 32, and ne 33, and ne 34, and ne 35, and
 ne 38), and who did not received a
 lump-sum payment in the reference period
 (EGICODE ne 39)

V -1 .Not in Universe
 V 1 .Yes
 V 2 .No

D AJOBRETI 1 557
 T PR: Allocation flag for EJOBRETI
 PR79_PR740 Allocation flag for if
 respondent had ever retired from a job or
 business

V 0 .Not imputed
 V 1 .Statistical imputation (hotdeck)
 V 2 .Cold deck imputation
 V 3 .Logical imputation (derivation)

D EWRK5YRS 2 558
 T PR: Worked for five years or more
 PR80_PR750 Have you ever worked for pay as
 much as five years or more? Universe =
 All respondents age 55 and over
 (TAGE>54) who had never retired from a
 job or business (EJOBRETI = 2), and who
 had no job or business indicated in the
 reference period (EPDJBTHN = 2)

V -1 .Not in Universe
 V 1 .Yes
 V 2 .No

D AWRK5YRS 1 560
 T PR: Allocation flag for EWRK5YRS
 PR80_PR750 Allocation flag for if
 respondent had ever worked for five years

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    or more
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D ESCREPEN      2      561
T PR: Retirement benefits from job or business
    PR81_PR751 Did you retire from a job or
    from a business? or Was your longest
    employment on a job or in a business? or
    Did this pension benefit come from a job
    or from a business? Universe =
        All respondents age 15 and over
        (TAGE>14) who received any pension or
        retirement in the reference period
        (EGICODE = 30 or 31 or 32 or 33 or 34 or
        35 or 38) AND the pension comes from
        his/her job or business (EPENSRCE = 1),
        OR all respondents age 55 and over
        (TAGE>54) and either (1) who had ever
        received a lump-sum payment from a
        pension or retirement plan from a prior
        job (EPREVLMP = 1), or (2) received a
        lump-sum payment during the reference
        period (EGICODE = 39), or (3) who had
        ever worked for pay for as long as five
        years (EWRK5YRS = 1), or (4) who had ever
        retired from a job or business (EJOBRETI
        = 1)
V          -1 .Not in Universe
V           1 .Job
V           2 .Business

D ASCREPEN      1      563
T PR: Allocation flag for ESCREPEN
    PR81_PR751 Allocation flag for if pension
    benefit came from a job or a business
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D EJBINDRP      4      564
T PR: Job industry code
    This is the industry code for the job from
    which you received this most recent
    lump-sum payment, or from which you
    retired, or on which you worked the
    longest. Universe = All
    respondents age 15 and over (TAGE>14) and
    (ESCREPEN = 1)
V          -1 .Not in Universe
V 0170:9990 .Industry code

D AJBINDRP      1      568
T PR: Allocation flag for EJBINDRP

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Allocation flag for the industry code from which the respondent received his/her most recent lump-sum payment, or from which he/she retired, or on which he/she worked the longest

V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

D TJBCCR 4 569

T PR: Job occupational code

This is the occupational code for the job from which you received this most recent lump-sum payment, or from which you retired, or on which you worked the longest. Universe = All respondents age 15 and over (TAGE>14) and (ESCREPEN = 1)

V -1 .Not in Universe
V 0010:9990 .Occupational code

D AJBCCR 1 573

T PR: Allocation flag for TJBCCR

Allocation flag for the occupational code from which the respondent received his/her most recent lump-sum payment, or from which he/she retired, or on which he/she worked the longest

V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

D RCLWRKR 2 574

T PR: Class of worker recode

Recode of the respondent's class of worker
Universe = All respondents age 15 and over (TAGE>14) and (ESCREPEN = 1)

V -1 .Not in Universe
V 1 .Private for profit employee
V 2 .Private not for profit employee
V 3 .Local government worker
V 4 .State government worker
V 5 .Federal government worker
V 6 .Family worker without pay
V 7 .Active duty Armed Forces

D ACLWRKR 1 576

T PR: Allocation flag for Class of worker

Allocation flag for the respondent's class of worker recode

V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)


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D EMULTLOC      2      577
T PR: Number of employer's locations
    PR90_PR840 Did your employer operate in
    more than one location? Universe =
        All respondents age 15 and over
        (TAGE>14) and (ESCREPEN = 1)
V          -1 .Not in Universe
V           1 .Yes
V           2 .No

D AMULTLOC      1      579
T PR: Allocation flag for EMULTLOC
    PR90_PR840 Allocation flag for whether the
    employer operated in more than one location
V           0 .Not imputed
V           1 .Statistical imputation (hotdeck)
V           2 .Cold deck imputation
V           3 .Logical imputation (derivation)

D ENUMWORK      2      580
T PR: Number of employees
    PR91_PR850 How many people were employed
    at the location where you worked? (at
    respondent's location if more than one
    location) Universe = All
    respondents age 15 and over (TAGE>14) and
    (ESCREPEN = 1), and whose former employer
    operated in more than one location
    (EMULTLOC = 1)
V          -1 .Not in Universe
V           1 .Less than 10
V           2 .10 to 25
V           3 .26 to 50
V           4 .51 to 100
V           5 .101 to 200
V           6 .201 to 500
V           7 .501 to 1000
V           8 .Greater than 1000

D ANUMWORK      1      582
T PR: Allocation flag for ENUMWORK
    PR91_PR850 Allocation flag for number of
    employees at respondent's work location
V           0 .Not imputed
V           1 .Statistical imputation (hotdeck)
V           2 .Cold deck imputation
V           3 .Logical imputation (derivation)

D EEMPLALL      2      583
T PR: Number of employees at all locations
    PR92_PR860 About how many people were
    employed by that employer (at all
    locations, or at respondent's location if
    only one location)? Universe =
        All respondents age 15 and over
        (TAGE>14) and (ESCREPEN = 1)

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V -1 .Not in Universe
V 1 .Less than 10
V 2 .10 to 25
V 3 .26 to 50
V 4 .51 to 100
V 5 .101 to 200
V 6 .201 to 500
V 7 .501 to 1000
V 8 .Greater than 1000

D AEMPLALL 1 585

T PR: Allocation flag for EEMPLALL
PR92_PR860 Allocation flag for number of
employees at all work locations

V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

D EUNIONYN 2 586

T PR: Union/employee association contract
PR93_PR870 When you worked for that
employer, were you covered under a union
or employee association contract?
Universe = All respondents
age 15 and over (TAGE>14) and (ESCREPEN =
1)

V -1 .Not in Universe
V 1 .Yes
V 2 .No

D AUNIONYN 1 588

T PR: Allocation flag for EUNIONYN
PR93_PR870 Allocation flag for
union/employee association contract

V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

D THRSWEEK 3 589

T PR: Hours per week at past job
PR94_PR880 How many hours per week did you
usually work at that job? Universe =
All respondents age 15 and over
(TAGE>14) and (ESCREPEN = 1)

V -1 .Not in Universe
V 1:60 .Number of hours per week

D AHRSWEEK 1 592

T PR: Allocation flag for THRSWEEK
PR94_PR880 Allocation flag for number of
hours per week at past job

V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

D EWKSYRS 2 593
 T PR: Weeks per year at past job
 PR95_PR890 How many weeks during the year
 did you usually work at that job? Include
 paid vacation and sick leave as work time.
 Universe = All respondents
 age 15 and over (TAGE>14) and (ESCREPEN =
 1)
 V -1 .Not in Universe
 V 1:52 .Number of weeks

D AWKSYRS 1 595
 T PR: Allocation flag for EWKSYRS
 PR95_PR890 Allocation flag for number of
 weeks per year at past job
 V 0 .Not imputed
 V 1 .Statistical imputation (hotdeck)
 V 2 .Cold deck imputation
 V 3 .Logical imputation (derivation)

D TYRSWRKD 2 596
 T PR: Total years worked at past job
 PR96_PR900 How many years did you work at
 that job? Universe = All
 respondents age 15 and over (TAGE>14) and
 (ESCREPEN = 1)
 V -1 .Not in Universe
 V 1:40 .Number of years

D AYRSWRKD 1 598
 T PR: Allocation flag for TYRSWRKD
 PR96_PR900 Allocation flag for the number
 of weeks per year at past job
 V 0 .Not imputed
 V 1 .Statistical imputation (hotdeck)
 V 2 .Cold deck imputation
 V 3 .Logical imputation (derivation)

D EYRLRFTJ 4 599
 T PR: Year left past job
 PR97_PR910 In what year did you leave that
 job? Universe = All
 respondents age 15 and over (TAGE>14) and
 (ESCREPEN = 1)
 V -1 .Not in Universe
 V 1900:2012 .Year

D AYRLRFTJ 1 603
 T PR: Allocation flag for EYRLRFTJ
 PR97_PR910 Allocation flag for the year
 the respondent left his/her past job
 V 0 .Not imputed
 V 1 .Statistical imputation (hotdeck)
 V 2 .Cold deck imputation
 V 3 .Logical imputation (derivation)

D TERNLEV1 8 604
 T PR: Amount of pre-tax earnings at past job
 PR98_PR920 When you left that job, how
 much were you earning before deductions
 for taxes, etc? Universe =
 All respondents age 15 and over (TAGE>14)
 and (ESCREPEN = 1), and who was not a
 family worker without pay (RCLWRKR ne 6)
 V 0 .Not In Universe
 V 1:125000 .Amount in dollars

D EERNLEV2 2 612
 T PR: Frequency of earnings at past job
 PR98_PR920 Is this per week, biweekly, per
 month, or per year? Universe =
 All respondents age 15 and over
 (TAGE>14) and (ESCREPEN = 1), and who was
 not a family worker without pay (RCLWRKR
 ne 6)
 V -1 .Not in Universe
 V 1 .Per week
 V 2 .Biweekly
 V 3 .Per month
 V 4 .Per year

D AERNLEAV 1 614
 T PR: Allocation flag for TERNLEV1-EERNLEV2
 PR98_PR920 Allocation flag for pre-tax
 earnings at respondent's past job
 V 0 .Not imputed
 V 1 .Statistical imputation (hotdeck)
 V 2 .Cold deck imputation
 V 3 .Logical imputation (derivation)

D EHLTHPLN 2 615
 T PR: Current health plan from former employer
 PR99_PR940 Are you now covered by a health
 plan provided through your former
 employer? Universe = All
 respondents age 15 and over (TAGE>14) and
 (ESCREPEN = 1)
 V -1 .Not in Universe
 V 1 .Yes
 V 2 .No

D AHLTHPLN 1 617
 T PR: Allocation flag for EHLTHPLN
 PR99_PR940 Allocation flag for current
 health plan from former employer
 V 0 .Not imputed
 V 1 .Statistical imputation (hotdeck)
 V 2 .Cold deck imputation
 V 3 .Logical imputation (derivation)

D TBSINDRP 2 618
 T PR: Business industry code
 This is the industry code of the business

from which you received this most recent
lump-sum payment, or from which you
retired, or on which you worked the
longest. Universe = All
respondents age 15 and over (TAGE>14) and
(ESCREPEN = 2)

V -1 .Not in Universe
V 1 .Agriculture, Forestry, Fishing
V .and Hunting
V 2 .Mining
V 3 .Construction
V 4 .Manufacturing
V 5 .Wholesale trade
V 6 .Retail Trade
V 7 .Transportation and warehousing,
V .and utilities
V 8 .Information
V 9 .Finance, Insurance, Real Estate
V .and Rental and Leasing
V 10 .Professional, Scientific,
V .Management, Administrative
V .and Waste Management
V .Services
V 11 .Educational, health and social
V .services
V 12 .Arts, entertainment, recreation,
V .accommodation, and food
V .services
V 13 .Other Services (except public
V .administration)
V 14 .Public administration
V 15 .Active duty military
V 99 .Unable to code

D ABSINDRP 1 620

T PR: Allocation flag for TBSINDRP

Allocation flag for the industry code for
the business from which the respondent
received his/her most recent lump-sum
payment, or from which he/she retired, or
on which he/she worked the longest

V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

D EBSOCCRP 4 621

T PR: Business occupational code

This is the occupational code of the
business from which you received this most
recent lump-sum payment, or from which you
retired, or on which you worked the
longest. Universe = All
respondents age 15 and over (TAGE>14) and
(ESCREPEN = 2)

V -1 .Not in Universe
V 0010:9990 .Occupational code

D ABSOCCRP 1 625
T PR: Allocation flag for EBSOCCRP
Allocation flag for the occupational code
from which the respondent received his/her
most recent lump-sum payment, or from
which he/she retired, or on which he/she
worked the longest
V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

D TMAKEMPL 2 626
T PR: Maximum number of employees
PR104_PR954 What was the maximum number of
people you employed, including yourself,
who worked at this business at any one
time? Universe = All
respondents age 15 and over (TAGE>14) and
(ESCREPEN = 2)
V -1 .Not in Universe
V 1 .Less than 10
V 2 .10 to 25
V 3 .26 to 50
V 4 .51 to 200
V 5 .201 or more

D AMAKEMPL 1 628
T PR: Allocation flag for TMAKEMPL
PR104_PR954 Allocation flag for maximum
number of employees at respondent's
business
V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

D EBUSNINC 2 629
T PR: Was respondent's business incorporated
PR105_PR955 Was this business
incorporated? Universe =
All respondents age 15 and over (TAGE>14)
and (ESCREPEN = 2)
V -1 .Not in Universe
V 1 .Yes
V 2 .No

D ABUSNINC 1 631
T PR: Allocation flag for EBUSNINC
PR105_PR955 Allocation flag for if
respondent's business was incorporated
V 0 .Not imputed
V 1 .Statistical imputation (hotdeck)
V 2 .Cold deck imputation
V 3 .Logical imputation (derivation)

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D TBUSHRSW      3      632
T PR: Number of hours per week
    PR106_PR956 How many hours per week did
    you usually work at that business?
    Universe =          All respondents
    age 15 and over (TAGE>14) and (ESCREPEN =
    2)
V          -1 .Not in Universe
V          1:80 .Number of hours

D ABUSHRSW      1      635
T PR: Allocation flag for TBUSHRSW
    PR106_PR956 Allocation flag for number of
    hours per week respondent worked at own
    business
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D EBUSWKSY      2      636
T PR: Number of weeks per year
    PR107_PR957 How many weeks during the year
    did you usually work at that business?
    Include paid vacation and sick leave as
    work time. Universe =          All
    respondents age 15 and over (TAGE>14) and
    (ESCREPEN = 2)
V          -1 .Not in Universe
V          1:52 .Number of weeks

D ABUSWKSY      1      638
T PR: Allocation flag for EBUSWKSY
    PR107_PR957 Allocation flag for number of
    weeks per year respondent worked at own
    business
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D TBUSLONG      2      639
T PR: Number of years
    PR108_PR958 How many years did you work at
    that business? Universe =
    All respondents age 15 and over (TAGE>14)
    and (ESCREPEN = 2)
V          -1 .Not in Universe
V          1:50 .Number of years

D ABUSLONG      1      641
T PR: Allocation flag for TBUSLONG
    PR108_PR958 Allocation flag for number of
    years respondent worked at own business
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation

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V          3 .Logical imputation (derivation)

D EBUSLEAV    4      642
T PR: Year respondent left own business
      PR109_PR959 In what year did you leave
      that business? Universe =
      All respondents age 15 and over (TAGE>14)
      and (ESCREPEN = 2)
V          -1 .Not in Universe
V 1900:2012 .Year

D ABUSLEAV    1      646
T PR: Allocation flag for EBUSLEAV
      PR109_PR959 Allocation flag for year
      respondent left own business
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D TBUSERN1    8      647
T PR: Pre-tax earnings at past business
      PR110_PR960 When you left that business,
      how much were you earning before
      deductions for taxes, etc? Universe =
      All respondents age 15 and over
      (TAGE>14) and (ESCREPEN = 2)
V          0 .Not In Universe
V 1:175000 .Amount in dollars

D EBUSERN2    2      655
T PR: Frequency of earnings
      PR110_PR960 Was this per week, biweekly,
      per month, or per year? Universe =
      All respondents age 15 and over
      (TAGE>14) and (ESCREPEN = 2)
V          -1 .Not in Universe
V          1 .Per week
V          2 .Biweekly
V          3 .Per month
V          4 .Per year

D ABUSERN     1      657
T PR: Allocation flag for TBUSERN1-EBUSERN2
      PR110_PR960 Allocation flag for pre-tax
      earnings at past business
V          0 .Not imputed
V          1 .Statistical imputation (hotdeck)
V          2 .Cold deck imputation
V          3 .Logical imputation (derivation)

D EBUSHLTH    2      658
T PR: Present health plan by former business
      PR111_PR970 Are you now covered by a
      health plan provided through your former
      business? Universe = All
      respondents age 15 and over (TAGE>14) and

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      (ESCREPEN = 2)
V      -1 .Not in Universe
V      1 .Yes
V      2 .No

D ABUSHLTH      1      660
T PR: Allocation flag for EBUSHLTH
      PR111_PR970 Allocation flag for present
      coverage by health plan at past business
V      0 .Not imputed
V      1 .Statistical imputation (hotdeck)
V      2 .Cold deck imputation
V      3 .Logical imputation (derivation)

D ESTDLVNG      2      661
T PR: Standard of living query
      PR112_PR980 Compared to the standard of
      living you had in your early fifties,
      would you say that your current standard
      of living is... 1 Much better 2
      Somewhat better 3 About the same 4
      Somewhat worse 5 Much worse Universe =
      All respondents age 55 and
      over(TAGE > 54)
V      -1 .Not in Universe
V      1:5 .Categories

D ASTDLVNG      1      663
T PR: Allocation flag for ESTDLVNG
      PR112_PR980 Allocation flag for standard
      of living query
V      0 .Not imputed
V      1 .Statistical imputation (hotdeck)
V      2 .Cold deck imputation
V      3 .Logical imputation (derivation)

D RTMEENO      2      664
T PR: Main job number
      Number of the main job record belonging to
      this person. Universe = All
      respondents age 15 and over who held a
      job as of the last day of the reference
      period
V      -1 .Not in Universe
V      0 .No current job but in universe
V      .for topical module
V      1:99 .Job number of main job

D RTMEBNO      2      666
T PR: Main business number
      Number of the main business record
      belonging to this person. Universe =
      All respondents age 15 and over
      who owned a business as of the last day
      of the reference period
V      -1 .Not in Universe
V      0 .No current business but in

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V .universe for topical module
V 1:99 .Business number of main business
D FILLER 1 668